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Performance Evaluation of Spatial Multiplexing MIMO-OFDM System using MMSE Detection under Frequency Selective Rayleigh Channel P.V. Gopal Krishna¹ ¹ Vasavi College of Engineering/ Osmania University

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8 Abstract

Tool wear is a worn portion over the flank and face of the tool. Tool wear is significant for
determining tool life and hence it influences the machining economics. The wear measurements
are carried by using a tool makers? microscope in the present investigations. All the
investigations are carried in dry machining. Life enhancement by using cryogenic treatment on
HSS drill (T1-type) is the objective of study. Investigations are carried on different work
materials such as AA6041, AISI 1040 and EN36. Improvement in tool life up to 140

15

6

16 Index terms— drilling, cryogenic treatment, regression analysis, tool wear.

17 **1** Introduction

igh data rate wireless communications, nearing 1Gb/s speed in 100MHz of bandwidth is trending in WLANs andhome audio/visual networks.

Research are directed at designing systems that are capable of handling high data rates while maintaining 20 sufficient BER performance without increasing the bandwidth. MIMO combined with OFDM system is the best 21 solution for this. MIMO systems use array of multiple antennas and take benefit of multipath effects of the 22 propagation instead of combating it [1]. OFDM can transform frequency selective MIMO channels into a set 23 of parallel frequency flat MIMO channels, thus decreases receiver complexity. Parallel increase in performance 24 and spectral efficiency of MIMO systems is not achievable with all the available signal detection schemes as 25 their associated computational complexity increases exponentially with the number of antennas. MMSE is a 26 low complexity scheme giving sub-optimal performance [5]. Evaluation of such system under Rayleigh flat and 27 frequency selective channel for various digital modulation techniques is performed to present an optimum solution 28 29 and achieve high data rates.

30 **2** II.

31 3 MIMO SYSTEM MODEL

MIMO system consists of majorly three components, the transmitter, channel and receiver as shown in Fig. ??. It uses multiple antennas at both the ends of the wireless links, all operating at same frequency at same time.?? = ???? + ?? (1)

Where, r is received signal vector, H is N r ×N t channel matrix, s is transmitted vector and n is Gaussian noise vector. MIMO encoder uses Space time processing technique which has generally has two aims; one is to increase the data rate and next is to achieve maximum possible diversity. The space time processing techniques are: Space time coding and Spatial Multiplexing. The paper focuses on the use of Spatial Multiplexing MIMO which allows higher throughput, diversity gain and interference reduction. It also fulfils the requirement by offering high data rate through spatial multiplexing gain and improved link reliability due to antenna diversity gain [6].

⁴² 4 Fig. 1 : MIMO system a) Spatial Multiplexing

43 Spatial multiplexing is a transmission method to send several different data bits in streams through an 44 independent spatial channel from each of the multiple transmit antennas to achieve the greater throughput 45 at higher SNR values [7]. If the transmitter is provided with Nt antennas and the receiver has Nr antennas, the 46 maximum spatial multiplexing order (the number of streams) is, ???? = min (????, ????)

47 (2) Therefore, the space dimension is reused, or multiplexed, more than once.

48 **5** III.

49 6 Ofdm

OFDM is a special form of multicarrier modulation (MCM) with closely spaced subcarriers overlapping spectra as shown in Fig 2 ?? MCM works on the principle of transmitting data by dividing the stream into several bit streams, each of which has a much lower bit rate, and by using these sub-streams to modulate several carriers

53 [8].

The information data is mapped into symbols, distributed and sent over the N sub-channels, one symbol per 54 channel. To have minimum interference, the carrier frequencies must be chosen carefully. Orthogonal FDM's 55 spread spectrum technique distributes the data over a large number of carriers that are spaced apart at perfect 56 frequencies. This spacing provides the "Orthogonality" which prevents demodulators from viewing frequencies 57 58 other than their own. With the find of FFT/IFFT it became possible to generate OFDM using the digital domain 59 for orthogonality of sub carriers. In OFDM, an N complexvalued data symbol modulates N orthogonal carriers using the IFFT forming. The transmitted OFDM signal multiplexes N low-rate data streams, each experiencing 60 61 an almost flat fading channel when transmitted.

⁶² 7 Mimo-Ofdm

A combination of MIMO and OFDM has been considered as a potential technology for high speed data wireless
 transmission networks such as WLAN, 3GPP, LTE & WiMAX. The Spatial Multiplexing(SM) can significantly

increase channel capacity by simultaneously transmitting multiple independent streams with same data rates and

power level [10]. Other side the OFDM technology can efficiently utilize the spectrum and eliminate the effect of
 multipath fading. All the blocks of OFDM like, FFT, IFFT and CP when applied to every single transmit and

⁶⁸ receive antennas (MIMO) makes it MIMO-OFDM.

⁶⁹ The IEEE 802.11n WLAN standard is used to design the base system [11]. This standard includes MIMO-

OFDM as a compulsory feature to enhance data rate. Initial target was to achieve data rates in excess of 100
Mb/s. However, current WLAN devices based on 802.11n Draft 2.0 are capable of achieving throughput up to
300 Mb/s utilizing two spatial streams in a 40 MHz channel in the 5 GHz band [12].

The proposed system shown in (OL-MIMO) techniques which do not require channel state information (CSI)

at the transmitter. MMSE detection has primarily been considered so as to minimize the complexity associated
 with MIMO detection while ensuring reasonably good performance.

76 V.

77 8 Linear Detection a) Zero forcing(ZF) detector

The ZF is a linear detection technique, which inverse the frequency response of received signal, the inverse is taken for the restoration of signal after the channel. The estimation of strongest transmitted signal is obtained by nulling out the weaker transmit signal. Considering $2x \ 2 \text{ MIMO channel}$,?? = ???? + ??

(3) Where, Y=Received Symbol Matrix., H=Channel matrix, X=Transmitted symbol Matrix, N=Noise
Matrix. To solve for x, we need to find a matrix W which satisfies???? = ??, The Zero Forcing (ZF) detector for
meeting this constraint is given by, ?? = (?? ??) ?1 ?? ?? (4) Where, W=Equalization Matrix and H=Channel
Matrix. This matrix is known as the Pseudo inverse for a general m x n matrix. [13]- [14]. Theoretically ZF
sounds efficient but in practical situations, it is very susceptible to noise as the inverse of the received noise is
also applied to the signal since the channel response includes noise as depicted.

⁸⁷ 9 b) Minimum Mean Square Error(MMSE) detector

MMSE equalizer minimizes the mean -square error between the output of the equalizer and the transmitted symbol, which is a stochastic gradient algorithm with low complexity. This approach tries to find a coefficient W which minimizes the criterion,?? ???? ????? ????? ????? ????? ?????

To solve for x, we need to find a matrix W which satisfies WH = ??. The Minimum Mean Square Error (MMSE) detector for meeting this constraint is given by?? = [(?? ?? + ?? 0 ??) ?1 ?? ??](6)

The MMSE detector considers the noise variance when inverting the channel matrix. Instead of removing ISI completely, an MMSE equalizer allows some residual ISI to minimize the overall distortion. Most of the finite

⁹⁵ tap equalizers are designed to minimize the mean square error performance metric but MMSE directly minimizes

96 the bit error rate [7]- [17].

97 10 VI.

98 11 Fading Channels

In recent years, theoretical and practical investigations have shown that it is possible to realize enormous channel 99 capacities, far in excess of the pointto-point capacity given by the Shannon-Hartley law, if the environment is 100 sufficient multipath. The majority of work to date on this area has assumed flat subchannels composing the 101 MIMO channel. As the aim of MIMO systems is often to increase the data transmission rate of a communication 102 system, a wideband and hence highly time-dispersive model would be more appropriate. To properly exploit this 103 environment to realize these capacity increases, the MIMO channel must be equalized so that the performance 104 of any system attempting to harness the multipath diversity can do so while maintaining a satisfactory BER 105 performance. Assuming that the response of the MIMO channel is known at the receiver, a method to create a 106 suitable equalizer is to analytically invert the frequency selective, or time-dispersive. 107

¹⁰⁸ 12 a) Rayleigh Flat Fading

Flat fading channels can be approximated by Rayleigh distribution if there is no line of sight which means when there is no direct path between transmitter and receiver. The received signal can be simplified as ??(??) = ??(??) * ?(??) + ??(??)(7)

where, h(t) is the random channel matrix having Rayleigh distribution and n(t) is the additive white Gaussian noise. The Rayleigh distribution is basically the magnitude of the sum of two equal independent orthogonal Gaussian random variables and the probability density function (pdf) given by:??(??) = ?? ??? ??? ??? ??? 2 ?? r (8)

where, ? 2 is the time-average power of received signal [18]- [19] b) Rayleigh Frequency Selective Fading 116 Frequency-selective fading can be viewed in the frequency domain, although in the time domain, it is called 117 multipath delay spread. The simplest measure of multipath is the overall time span of path delays from the first 118 pulse to arrive at the receiver to the last pulse to arrive at the receiver. When viewed in the frequency domain, 119 a channel is referred to as frequency-selective if f = 0 < 1/Ts = W, where the symbol rate, 1/Ts is nominally 120 taken to be equal to the signal bandwidth W. Flat fading degradation occurs whenever f 0 > W. Here, all of 121 the signal's spectral components will be affected by the channel in a similar manner (e.g., fading or no fading). 122 In order to avoid ISI distortion caused by frequencyselective fading, the channel must be made to exhibit flat 123 fading by ensuring that the coherence bandwidth exceeds the signalling rate. Narrowband channel belongs to flat 124 fading channels, where all the frequency components of the transmitted signal behave similarly. For wideband 125 signal, the signal bandwidth, Ws, may be significantly higher than the coherence bandwidth. Consequently, two 126 frequency components separated by a frequency of the coherence bandwidth or beyond may behave significantly 127 128 differently. Hence, wideband channels are typically frequency-selective fading channel [18]- [19].

129 **13 VII.**

14 RESULTS & DISCUSSIONS a) Performance under flat and frequency selective Rayleigh Channels

A 2×2 MIMO-OFDM uncoded system is considered with QPSK modulation under flat fading Rayleigh channel
 and the performance of ZF and MMSE detectors are compared in terms of BER Vs Eb/No.

¹³⁴ 15 Fig.4 : ZF & MMSE under flat fading Rayleigh channel

At SNR of 7dB, the target of 10 -3 BER is achieved using MMSE detector and the same is achieved at the SNR 135 of 10 dB with ZF detector as shown in Fig. 3. The MMSE detector considers the noise variance when inverting 136 the channel matrix thus it has a better estimate to that of the ZF, which amplifies the channel noise. Thus, by 137 suppressing both the interference as well as the noise components MMSE is a superior receiver than ZF which 138 only suppresses the interference components. OFDM divides a communications channel into a number of equally 139 spaced frequency bands called a subcarrier which carries a portion of the desired information and is transmitted 140 in each band. OFDM converts a wide band frequency selective channels in to multiple flat channels. Here, the 141 channel used is Rayleigh flat fading channels. Hence, the performance is better of the MIMO-OFDM system 142 close to as in AWGN channel. 143

For the same input scenario, the performance of the system is evaluated under Rayleigh Frequency Selective 144 145 Channel. An $M \times N$ uncorrelated Rayleigh channel with uniformly distributed 6 taps over the channel length L=85 is considered. System capacity could be linearly increased with the number of antennas when the system is 146 147 operating over flat fading channels. In real situations, multipath propagation usually occurs and causes the MIMO channels to be frequency selective. OFDM transforms the frequency-selective fading channels into parallel flat 148 fading sub channels. MIMO OFDM significantly simplifies MIMO baseband receiver processing by eliminating 149 the need for a complex MIMO equalizer. The performance of MMSE receiver though degrades under frequency 150 selective channel as compared to flat fading channel. At SNR of 24dB, the target of 10 -3 BER is achieved using 151 MMSE detector and the same is achieved at the SNR of 27 dB with ZF detector as shown in Fig. 3. In this case 152 also, MMSE performs better than ZF. 153

¹⁵⁴ 16 b) Performance with various modulation schemes

For 2×2 configuration, the performance of ZF and MMSE is checked under various modulation techniques, such 155 as, QPSK, 16-QAM and 64-QAM for Rayleigh flat and frequency selective channel for target of 10 -3 BER. 156 Under QPSK modulation, lowest BER is achieved and 64-QAM the highest. BER increases as the order of the 157 modulation order i.e. M increases. This increase is due to the fact that as the value of M increases distances 158 between constellation points decreases which in turn makes the detection of the signal corresponding to the 159 constellation point much tougher The solution to this problem is to increase the value of the SNR so, that the 160 effect of the distortions introduced by the channel will also goes on decreasing, as a result of this, the BER will 161 also decreases at higher values of the SNR for high order modulations In all the cases though, the performance 162 of MMSE is better than ZF. 163

17 Table.1 : MMSE and ZF performance for different modula tion schemes under frequency selective and flat

Rayleigh Channel a) Performance with different antenna configurations From basic 2 \times 2, the antennas 166 configuration at the transmitter and receiver is increased equally to 4×4 and 8×8 sizes and the performance in 167 terms of BER Vs SNR is evaluated for MMSE detector using QPSK and 64-QAM modulation. Figure ??1 depicts 168 that if antenna configurations are increased from 2×2 to 4×4 and similarly from 4×4 to 8×8 , an increment in 169 SNR (dB) of around 2 dB is required to achieve same amount of BER. Thus the spectral efficiency gets doubled 170 in case of MIMO SM technique at the expense of small amount of increment in SNR (0 to 3db). With higher 171 antenna configuration, higher channel capacity is achieved with a small expense of SNR. This is the benefit of 172 spatial multiplexing and spatial multiplexing detectors VIII. 173

174 18 CONCLUSION

MIMO-OFDM spatial multiplexing is a promising solution to achieve high data rates and robust communication 175 for future wireless systems. The performance of Minimum Mean Square Error (MMSE) detector is near optimal 176 and of low complexity to achieve good SINR (signal-to-interference-plus noise) ratio. Among linear receivers, 177 performance of MMSE is better than ZF by 3 dB in all conditions. BER of 10 -3 is achieved at 7 dB SNR 178 under Rayleigh flat fading environment and 24 dB under Rayleigh frequency selective environment. In real-world 179 scenarios, MIMO channels undergoes frequency selective fading, so the performance of a system and its detector 180 is very important to be evaluated under frequency selective channel condition. Using MMSE as a detector and 181 QPSK as a modulation scheme, minimum BER and best performance is achieved. Increasing the modulation 182 order will increase the BER but at the same time it will increase the capacity. Using MMSE with 64-QAM gives 183 184 maximum throughput than other modulation techniques. Increasing the antenna configuration from 2×2 to 4×4 185 to 8×8 , an increment in SNR (dB) of around 2 dB is required to achieve same amount of BER but at the same time spectral efficiency is enhanced due to multiplexing gain thus leads to an increased channel capacity. 186

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Figure 1: Fig. 2 :



Figure 2: Fig. 3 :



Figure 3: Fig. 5 :



MIMO OFDM transmitter



Figure 4: Fig. 6 : Fig. 7 : Fig. 8 :

91011

Figure 5: Fig. 9 : Fig. 10 : Fig. 11 :

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