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Impact of Radio Frequency Identification (RFID) Technology on Supply Chain Efficiency: An Extensive Study

Abdul Kadar Muhammad Masum¹ and AFarukABhuiyan²

¹ International Islamic University Chittagong

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7 Abstract

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Wireless technologies such as Radio Frequency Identification (RFID) and Global Position 8 System (GPS) play important role as value added services in communication systems and 9 mobile commerce these days. The aim of Supply Chain Management is to produce, distribute, 10 logistics and deliver goods and equipment in right location, right time, right amount to satisfy 11 costumers, with minimum time and cost waste. So implementing techniques of radio frequency 12 identification (RFID) that reduce project time and cost, and improve productivity and 13 performance is very important. The purpose of this study is to explore the benefits and 14 liabilities of the use of this technology in supply chain operations and its benefits is centered 15 on goals relative to the optimization of logistics activities; specifically related to inventory 16 management, bullwhip effect and replenishment policies. Besides, some approaches to evaluate 17 the benefits of RFID in supply chain along with a brief analysis of return-on-investment (ROI) 18 to RFID implementation in supply chain operations are identified and discussed. 19

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Index terms— RFID technology, supply chain management, inventory management, return-on-investment (RIO).

23 1 Introduction

adio Frequency Identication (RFID) is an automatic identication and data capture technology which is composed 24 of three elements: a tag formed by a chip connected with an antenna; a reader that emits radio signals and receives 25 in return answers from tags, and finally a middleware that bridges RFID hardware and enterprise applications 26 (McFarlane, Sarma, Chirn, Wong, and Ashton, 2003). A typical supply chain consists of supplier, manufacturer, 27 distributor, retailer, and customer. This could be multiple tiers of suppliers, manufacturers and distributors. 28 Through radio waves, it provides a real-time communication with numerous objects at the same time at a distance 29 places without contact or direct line of sight ??Garcia, Chang, & Abarca, 2007) & ??Gaukler, 2005). Its advanced 30 identification and communication characteristics can improve the product traceability and the visibility among 31 supply chains. It can increase accuracy, efficiency and speed of processes and it can also reduce storage, handling 32 and distribution costs and improve sales by decreasing the number of stock outs (Visich, Khumawala, and Zhang). 33 34 Companies such as GAP, CVS, Gillette, Proctor & Gamble and Wal-Mart have recognized the importance of 35 leveraging this technology to improve and increase operating efficiencies in the supply chain, which is benefiting 36 from recent advances in electronic cataloguing. With RFID systems, companies would have increased product visibility, reduce out-of-stock items, trim warehouse costs, eliminate stock errors, reduce theft and shrinkage and 37 allow companies to regularly update their logistics and inventory databases. Current applications of RFID focus 38 on inventory management, logistics and transportation, assembly and manufacturing, asset tracking and object 39 location, environment sensors, etc. (Gaukler and Seifert, 2007). Some sectors have more opportunity to gain 40 from the various RFID applications, such as retail, healthcare, textile, automotive and luxury goods industries 41 (Visich, Khumawala, and Zhang). 42

43 **2** II.

44 **3** Literature Review

Radio frequency identification (RFID) is an emerging technology that has been increasingly used in logistics 45 and supply chain management (SCM) in recent years. This technology can identify, categorize, and manage 46 the flow of goods and information throughout a supply chain. It offers the potential to greatly improve supply 47 chain performance due to its ability to provide rich and timely information that increases visibility and control 48 over the supply chain. Applications of RFID in supply chain have increased. Bagchi et al. 2007 reported the 49 prediction of RFID growth as from \$1 billion in 2003 to \$4 billion in 2008 to \$20 billion in 2013. Thonemann 50 (2002) reported that after the deployment of RFID technologies, Procter & Gamble and Wal-Mart simultaneously 51 reduced inventory levels by 70%, improved service levels from 96% to 99%. They also reduced administration 52 costs by re-engineering their supply chains. In a literature review on Build-to-Order Supply Chain (BOSC) 53 management, Gunesekaran and Ngai (2005) ??007) presents a survey on the causes of inventory in accuracy 54 in supply chain management. Dolgui and Proth (2008) also present a literature review on RFID technology in 55 supply chain. They focus on the advantages of this technology in inventory management. They also analyze some 56 57 problems and present perspectives dealing with privacy and authentication properties of it. The contribution of 58 this technology to supply chains is not only in increasing the efficiency of systems but also in supporting the 59 reorganization of the systems that become more efficient.

60 **4** III.

⁶¹ 5 Objectives of the Study

The main objective of the study is to investigate the role of RFID technology to improve the efficiency of the
firms' own supply chains. To attain the main the main objective, the specific objective of the study are as follows:
i. To identify the benefits of RFID-enabled supply chain;

ii. To analyze the return that flows from this technology based integrated supply chain; and iii. To explorethe issues those create obstacles to enjoy the benefits of RFID supportive supply chain.

67 IV.

68 6 Rationale of the Study

Supply chain management plays a great role in the 21 st century for the success of domestic and global companies. 69 RFID technology has an extensive role in supply chain operations. This technology is able to accelerate the 70 performance of supply chain. As a result, todays firms than ever emphasis on the application of this technology 71 72 to their own supply chains (SC). With the importance of this technology in supply chain management, many authors have tried to write on this matter. Many articles have been published in different popular journals. 73 But among them, a few articles explore the reality of this technology in SC. Here, we have tried to describe 74 extensively, the role of this technology to improve the SC performance and ultimately in the success of firms. 75 V. 76

77 **Research Methods**

To satisfy our objectives of the study, descriptive research method is followed. By considering time, money and distance constraints, our attempt is based largely on secondary data. Here, we have tried to study literature extensively in the areas of supply chain management, logistics, RFID technology, and inventory management published from 1995 to 2011. Data and information from secondary sources were collected by reviewing different published articles, online journals, working papers, existing case studies and websites.

83 8 VI. indings

The findings of the study have been presented under the following heads a) Benefits of RFID-enabled supply chains 84 RFID technology offers several contributions to supply chain through their advanced properties such as unique 85 identification of products, easiness of communication and real time information (Saygin et al. 2007), (Michael 86 and Mc Cathie , 2005). It can improve the traceability of products and the inventory visibility throughout 87 the whole supply chain, and also can ensure reliable and speed up tracking, shipping, checkout and counting 88 processes, which leads to improved inventory flows and more accurate information. Leung et al. (2007) presents 89 the benefits of RFID, as shown in Figure 1, in three main groups; revenue, operating margin, capital efficiency. 90 Among a number of benefits, we are particularly interested in three main problems of supply chain management 91 that can be improved through RFID; inventory inaccuracy, the bullwhip effect and replenishment policies: 92

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⁹⁴ 10 b) Inventory mismanagement

Inaccuracy problems in inventory management are important in supply chain management. Although many companies have automated their inventory management using information systems, inventory levels in information

systems and the real physical inventory levels often do not match. The difference between these inventory levels 97 is called inaccuracy and can deeply affect the performance of firms. Dehoratius & Raman (2008) report that 65% 98 of the inventory records in retail stores were inaccurate. The result was obtained in a case study, by examining 99 about 370,000 inventory records from 37 stores of an important retailer (Gamma). Raman et al. (2001) reports 100 that such inaccuracies could reduce the profit of retailers by 10% due to higher inventory cost and lost sales. We 101 can classify the different causes of inventory inaccuracy into them in four groups; transaction errors, shrinkage 102 errors, inaccessible inventory and supply errors. Transaction errors were introduced in inventory management 103 by ??glehart and Morey (1972). Several authors followed this study (Krajewski et al. 1987). Transaction errors 104 include shipment errors, delivery errors, scanning errors and also incorrect identification of items (Lee, Cheng 105 and . Shrinkage (named also stock loss) errors include all types of errors that cause loss of products ready for 106 sale. There are several studies on this subject (Bullard and Resnik, 1983). According to a retail survey report 107 of the University of Florida, shrinkage errors represent 1.69% of sales for retailers . Shrinkage errors include 108 employee theft, shoplifting, administration and paperwork errors, vendor fraud and unavailable products for sale. 109 Theft represents an important part of shrinkage errors. There are several studies on internal and external theft 110 in supply chains. According to the previous studies, theft levels represent about 1-2% of total sales (Chappell, 111 Durdan, Gilbert, Ginburg, Smith, & Tobolski) (A simulation study of a retail supply chain, 2005). 112

113 Inaccessible inventory can be explained as products which are not in the correct place and are not available for customers. Inaccessible inventories, called also misplaced items, have been studied by many authors. Employees 114 115 can put products in wrong shelves or customers can set an item that they took from a shelf to another shelf. ??f (Rekik, 2006). RFID technologies provide better product traceability through its real time data capture 116 properties that enable improvements in the supply chains against these inventory inaccuracy errors. It is in 117 particular very successful to eliminate transaction errors (Zipkin, 2006). Although RFID cannot eliminate all 118 errors, they can be detected quickly and by considering the existence of this problem in planning processes, they 119 can be dealt with effectively. Several authors were interested in RFID technologies to be able to eliminate these 120 errors. 121

¹²² 11 c) Bullwhip effect

The bullwhip effect is an important phenomenon of supply chain management that has been studied for about fifty years. It was explained by Stevenson, (2007) that the demand variations of the customer become increasingly large when they diffuse back-wards through the chain. The bullwhip effect was first introduced by Forrester, (1958). He observed a fluctuation and amplification of demand from the downstream to the upstream of the supply chain. He stated that the variance of the customer demand increases at each step of the supply chain (customer, retailer, distributor, producer, and supplier). Furthermore, he concluded that the main cause of this amplification is the difficulties in the information sharing between each actor of the supply chain.

In 1999, Philips conducted a project on bullwhip effects in some of its supply chains and developed a collaborative-planning tool to reduce inventory and increase customer service levels. The results of this project showed important savings; minimum yearly savings of around US \$5 million from \$300 million yearly turnover. More recently, deal with this subject. They present two main sources of bullwhip effect. The sharp variance of customer demand for seasonal items complicates the down-stream actors' purchasing. Batching continuous orders in the periodic ordering systems cause demand variance up to the supply chain. He also reports that the bullwhip effect can significantly be reduced through information sharing.

¹³⁷ 12 d) Replenishment Policies

In inventory management, replenishment policies are very important methods for de-termining the frequency and the size of orders to maximize customer satisfaction with low ordering, holding and stock-out costs. There are several replenishment policies under continuous or periodic review inventory systems. ompanies try to choose the best policy for them. Inventory replenishment decisions are made based on inventory levels in the information system. Real-time inventory information obtained by RFID technologies ensures the accuracy of these levels. Hence, companies may change their replenishment strategies. The effects of RFID technologies on replenishment policies have been studied by many authors. Kok and Shang, (2007) ??ee et al. (2004) and are some of them.

¹⁴⁵ 13 e) Inventory Invisibility

The automatic identification of products inside the store would increase the inventory visibility and its accuracy. This will have an impact in four fronts: shrinkage, customer service, stock outs and inventory levels. Decrease shrinkage levels, increase profits. Customer service and the shopping experience can be enhanced by providing complementary applications enabled by RFID. Stock out levels can be decreased as consequences of the increased inventory visibility. Decreased stock outs increase sales and ultimately, increase profits. Decreased stock outs levels also increase the customer service. Finally, inventory levels can be reduced, increasing the ROI.

¹⁵² 14 VII. Approaches to Evaluate the Benefits of rfid Technolo ¹⁵³ gies in Supply Chain

We can study a system in different ways. Law and Kelton (2000) present these alternative ways as showed in 154 Figure 2 in two groups; experiments with the actual system and experiments with a model of the system that 155 contains physical and mathematical models. E expressions in order to analyze and optimize the system according 156 to an objective function. Analytical models have been studied in supply chain for about four decades. However, 157 the literature on analytical modeling of RFID technologies in supply chain is limited. The main topics that 158 analytical models often deal with are inventory systems with different replenishment policies and Newsvendor 159 160 models. The first analytical modeling approach on inventory inaccuracy due to transaction errors was presented 161 by ??glehart and Morey (1972). They study a single-item, periodic-review inventory system with a reorder point up-to-level replenishment policy (s, S). They propose a formula to optimize the frequency of physical inventory 162 counting, in order to correct inaccurate data, and safety stocks in order to protect the system against out-of-163 stocks. In analytical models, various hypotheses and approximations are considered. Thus the results of these 164 models are limited. However, simulations provide better observation of a real system in order to analyze its 165 performances and behavior over time. 166

167 **15 VIII.**

Return-on-Investment (roi) Analyzes of rfid Implementation in Supply Chains ROI analyzes are conducted to 168 evaluate whether an investment is profitable on a period of time. They have often been studied through analytical 169 models, simulations, case studies and experiments. As mentioned before, RFID technologies can provide several 170 benefits on supply chains; cost reduction such as labor cost, inventory cost, process automation, or efficiency 171 improvements and value creation such as increase in revenue, or increase in customer satisfaction (Nystrom, Lin, 172 and Yu, 2006.). However, the cost of RFID is still larger than current identification technologies (P. Zipkin, 2007) 173 and companies must decide whether to invest or not to acquire RFID technologies. a) ROI from RFID based 174 supply chain (5 business cases that offer a clear illustration) i. The case for tracking returnable assets For many 175 companies, the loss of returnable assets (pallets, roll cages, plastic crates, etc.) represents a huge waste of money. 176 177 By identifying them with an RFID tag, they are able to trace and manage them more efficiently, knowing exactly 178 which asset has been sent to which customer, how many they should still have on site, etc. The tags on items leaving or entering stores are read automatically whenever a truck is being loaded or unloaded. The ROI for such 179 applications is quite obvious, as the use of RFID helps companies to avoid losing thousands of items each year, 180 worth up to 400? for a roll cage. 181 ii. The case pallet loading and unloading in distribution centers RFID can reduce the time required to load 182

or unload trucks. Today, most companies still use barcodes for these tasks and each item (e.g. carton boxes on 183 a pallet) have to be scanned individually. By using RFID to identify the transported goods and installing an 184 RFID gate at the loading and/or unloading gate, information can be read automatically when entering or leaving 185 distribution centers. But not only does it allow a time saving, thanks to RFID, the error rate is also significantly 186 reduced: products loaded onto the wrong truck or wrong products being unloaded can be detected immediately. 187 Here again, the ROI is quite obvious, as the handling of goods being delivered incorrectly or inappropriately (use 188 of transportation, manpower, etc.) is high and reduces the margin companies earn on their sales. Last but not 189 least, the use of RFID for these tasks also implies higher security for work floor operators, who no longer need 190 to execute potentially dangerous movements using a handheld scanner to scan every single barcode on a product 191 pallet. 192

The case for asset management For many organizations it is important to know the exact location of 193 iii. their assets, such as electronic apparatus, furniture, etc. and how they are being used. Tagging these assets 194 allows them to better manage these goods "in the field" and to reduce their stock. In hospitals, for example, 195 medical staff can lose precious time searching for a machine that might be in use on another floor. Or sometimes 196 unnecessarily expensive machines are purchased when it should be possible to work efficiently with fewer. Using 197 RFID for asset management also makes it possible to store information about the maintenance of a machine 198 more conveniently, on the machine itself, instead of in a computer programme or even a paper file. Finally, 199 through using RFID, the inventory management of these assets is simplified. iv. The case for in store inventory 200 Many stores are losing a lot of time doing their inventory, counting each item in their stock manually. To do this 201 inventory, they often need to close their shop for one or two days and the risk of making errors while counting is 202 high. Using RFID can help to make this tedious task much quicker and easier. Of course, to get the necessary 203 Return on Investment, this can only apply to items which value justifies the use of an RFID tag, as it might be 204 the case for clothes, electronic apparatus, etc. Not only is the inventory going much faster but the information 205 on the counted items is transmitted directly to the central system and with much greater accuracy. This RFID 206 application also enables stores to identify more quickly which items are out of stock and must be refilled. v. The 207 case for food traceability 208

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In the fresh food industry, the use of an active RFID tag makes it possible to check if the cold chain has been respected adequately throughout the supply chain. A sensor placed on each box of perishable food makes it easy

to identify exactly which boxes have been affected by a temperature problem and have to be refused, without 212 necessarily rejecting a complete shipment. The same kind of application can be used in the blood transfusion 213 chain, where temperature can be controlled together with the contents of a bag and its location. 214

IX. 17215

18Challenges of rfid Supportive Integrated Supply Chain Man-216 agement 217

Although RFID-enabled supply chain generates a number of positive issues, yet it is suffered from various pitfalls. 218 These pitfalls interrupt the efficiency of integrated supply chain operations. These challenging issues are as follows: 219 i. A key challenge of RFID supportive supply chain management is the continually evolving standards in 220 technology, application, data, conference, firmware changes, and tracking methods. ii. Different companies often 221 use different standards making cooperation between suppliers and manufactures difficult. iii. Illicit tracking of 222 this technology related tags presents problem, like; scanning and cloning of RFID tags can potentially provide 223 undesired access to important facilities or use for payment in commercial transactions. iv. Implementations 224 225 are complemented by varying specifications and regulatory requirements, such as; operational frequencies and power specifications vary from country to country. v. An issue involving supply chain partners is the lack of 226 integration, for instance, when the manufacturers' resource planning systems are not linked in real -time to 227 shop floor systems. vi. Another issue is the partners' resistance to information sharing, which is necessary to 228 achieve maximum benefit from RFID technology. vii. In the past, too many companies were implementing RFID 229 for technology's sake, without understanding or establishing a valid business case to support their investment 230 decision. In these instances, the cost often tends to be an issue with the price of a tag being too high in comparison 231 to the price of the product to be identified or traced. This makes the use of RFID completely irrelevant. viii. 232 Another common problem can be the nature of the task to be accomplished. Even if RFID opens the door 233 to creativity, for certain processes, the implementation might be more complicated than with another kind of 234 technology. 235

ix. Environmental considerations need to be taken into account. As RFID works using radio frequencies, some 236 materials or other elements in the working environment might hinder the transmission of information. Therefore, 237 238 RFID is not necessarily the best fit technology to answer the needs of a company. For some projects, they 239 might be better off using voice recognition or barcodes to achieve better results at a lower price. Moreover, very often, RFID cannot be considered as a "stand alone" technology either. x. A first step towards a successful 240 RFID project is thus engaging the right business consultant, who should be able to analyze in detail the issues a 241 company is faced with and help establish if RFID is indeed the best fit technology. xi. As RFID is a technology 242 based on radio frequency, it is necessary to take a certain number of criteria into account when implementing a 243 solution. 244

Identifying the factors that could possibly result in noise and disturb the transmission of information is crucial, 245 as in some cases, this could make the implementation of RFID impossible. xii. Also, a company that decides 246 to implement RFID for one or several processes needs to define very clearly which information should be read 247 from each tag. Indeed, it is possible that a warehouse operator is wearing clothes that contain an RFID tag, and 248 this would be information the company is definitely not interested in. Therefore, the tasks that correspond to 249 operational processes to be enhanced should be defined clearly. These parameters combined which help collect 250 the relevant information is known as "data qualification". xiii. Another issue that might arise when using RFID 251 is that simply reading the information on the tag will not provide the sought-after information. An example to 252 illustrate this point is that of a truck loading or unloading: are the goods being shipped or received? Or what 253 if, in a warehouse, one tag is within reach of two antennas and read by both? "Location virtualization" (several 254 antennae placed on the same gate, defining two distinct reading zones) will solve this kind of problem. Yet, again, 255 to ensure a good solution requires a thorough analysis. 256 Х.

257

19 Conclusion 258

259 Globalization, competition, and increasingly sophisticated and informed customers are creating ever greater 260 supply chain challenges for today's businesses. While achieving supply chain excellence in the face of these 261 challenges is difficult, companies that lead in supply chain improvement may be able to build competitive 262 advantage. This study is conducted to E provide information on the current use of RFID technology in supply chain operations and its impacts on supply chain management systems. RFIDs have tremendous opportunities for 263 increasing value out-ofstock items, trim warehouse costs, eliminate stock errors, reduce theft and shrinkage and 264 allow companies to regularly update their logistics and inventory databases. Furthermore, it enables firms with 265 such capability to competitive globally. As RFID technology can provide important business benefits, the results 266



Figure 1:

thus cannot be sold. Raman et al. (Raman, DeHoratius,

& Ton, 2001) present a case study where misplaced Reduced inventory carrying cost items reduced profits b Reduced product efficiency and supply process can affect inventory accuracy

shrinkage Reduced expired product write-offs

Incremental revenue due to improved

Incremental revenue due Revenue to reduction in stock-out

RFID Advantages Operating Margin Benefits tree of RFID-enabled supply chain

- of this research deliver a better understanding of current problems and issues in RFID technology introduction and show which factors influence the level of success of such projects. $^{1\ 2\ 3}$ 267
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19 CONCLUSION

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