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Characteristics and Performance of Vertical-Axis Wind Turbine (VAWT)

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6 Abstract

3

7 There are two technologies of wind turbines. One is the horizontal-axis wind turbine

8 (HAWT), which dominates the market of wind farms since the HAWT has a higher energy

⁹ transfer efficiency. Another is the vertical-axis wind turbine (VAWT), which has unique

¹⁰ characteristics to be able to compete with the HAWT, especially in application locations. This

¹¹ paper first introduces the working mechanism of the VAWT and presents different types of

¹² VAWTs. Then the paper lists the major difference between VAWTs and HAVTs. It is

¹³ attractive that the VAWT doesn?t need long length blades and a giant tower so that the

¹⁴ VAWT doesn?t require a large open area and can be applied in the areas of metropolitan,

¹⁵ community, and resident. In these areas, VAWTs not only can efficiently utilize wind energy

¹⁶ but also may have cosmetic effects for the buildings and surroundings. The paper reveals the

¹⁷ unique characteristics of the VAWT: replaceable and stackable. The replaceable feature allows

an existing VAWT to be altered to a different VAWT type amazingly and the stackable

¹⁹ feature lets the VAWT be upgraded conveniently to meet the load requirements. In the paper,

 $_{20}$ the author presents a novel design of the stackable VAWT, a green power tower (GPT).

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22 Index terms— wind energy, vertical-axis wind turbine (VAWT)

²³ 1 Introduction

wind turbine is a device converting wind energy into useful power. There are two technologies of wind turbines: horizontal-axis wind turbine (HAWT) and vertical -axis wind turbine (VAWT). In a HAWT, the turbine shaft axis is parallel with the ground. While in a VAWT, the turbine shaft axis is perpendicular to the ground. Both turbines are shown in Figure 22

27 turbines are shown in Figure ??.

²⁸ 2 Difference Between HAWTs and VAWTs

Except the difference of the wind flow direction with the shaft axis, HAWTs and VAWTs have some differences and impacts in construction, economy, and environment. In HAWTs, the huge towers and large blades result in a high cost and trouble in the environment. After several decade of developments, HAWTs are becoming the bigger and the taller. The huge HAWTs are not suitable to locate in the areas of metropolitan, community, and resident, etc. Therefore, VAWTs become more attractive and practical in such areas. VAWTs are more convenient for installation and maintenance in which the electric system is on the ground. A summary of major differences between HAWTs and VAWTs are shown in Table **??**.

³⁶ 3 AdvantAges of VAWTs

37 From Table ??, it can be seen that HAWTs have advantages of efficiency and commercial availability;

VAWTs, however, have the advantages which can compete with HAWTs, in application location, environment effect, etc.

⁴⁰ 4 Table 1: Major Difference between HAWTs and VAWTs

Figure ?? shows some locations and areas being suitable for VAWTs application. In 2010, two VAWTs were
successfully installed on the Eiffel Tower, Paris, shown in Figure ??. They were installed 400 feet up on the 2 nd
tower level and provided 10,000 kWh of green electricity each year. It was an iconic application of the VAWT.

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45 5 Characteristics of VAWTs

Most of VAWTs have unique characteristics: replaceable and stackable. The replaceable characteristic allows an existing VAWT to be altered to another type amazingly and the stackable characteristic lets the VAWT be upgraded conveniently to meet the load requirements. Figures 6 and 7 illustrate the two characteristics, respectively. A Novel Design of VAWT Green power tower (GPT), a novel VAWT design, is developed. The GPT is a stackable type and has no exposed rotating components.

⁵¹ 6 a) Working Principle

52 Figure 8 shows the configuration of the GPT. Air stream horizontally blows into the channels to drive the shaft

⁵³ by rotating turbine blades. The air stream will flow out on the top of the GPT. The GPT is packable and can ⁵⁴ have multiple levels to meet the power requirement. The shaft at the tower center drives the electric generator on

the bottom. To evaluate the performance of the GPT, the scaled prototypes were built and tested with different

56 wind blades. Figure 9 presents the tests conducted in the wind tunnel and at the site, respectively. At the site

57 tests, the GPT was installed on the top of the moving vehicle. The driving speed of the vehicle is the velocity of

the wind blowing. Where P out -power output from a wind turbine (kW) P in -the power of the wind (kW), P in $\ensuremath{\mathsf{-the}}$

=??d 2 v 3 / 8 ? -density of air (kg/m 3) d -diameter of wind turbine (m) V -electrical voltage generated by a

60 wind turbine(V) I -electrical current generated by a wind turbine (A)

In the test, both V and I were measured by a multimeter, respectively.

⁶² 7 c) Testing Results and Discussion

⁶³ The tests were performed for both GPT and HAWT for comparison. The prototype data are listed in the ⁶⁴ following Table ??.

65 8 Table 2: Prototype Data in Test

66 Test results of GPT performance and comparison are shown in Figure 10. The tests revealed all VAWTs 67 performance were better than HAWTs. GPT-2 output power is about 200-250% higher than HAWT-2. These two 68 prototypes are equivalent in terms of the swept area. The difference is about 104% when GPT-1 and HAWT-1 69 are compared, although the swept area of GPT-1 is about 44% smaller than HAWT-1. It can also be observed 70 that higher wind speed leads to a bigger gap between GPTs and HAWTs.

C p converges on higher wind speeds. In lower wind speed, GPT with more levels outperforms the fewer number of levels, which was expected. There is also an irregular jump in GPT-1 for low wind speeds, which could be explained by the different cut-off speed of the system when there is only one level of GPT. The difference

between GPT-2 and HAWT-2 in terms of C P when wind speed is about 30 mph, is about 250%, where GPT-2

75 has a better performance.

The test data also indicates the in low wind speeds GPT-1 can have a better performance compared to the horizontal propeller of GPT, although in higher wind speeds it shows a negative impact. It seems that VAWTs

with small length blades have better performance and efficiency than HAWTs, which maybe be a reason HAWTs need large size and get larger and larger.

Large HAWTs may have more efficiency than VAWTs. Large HAWTs are more attractive in the application of wind farms in which large open area is available. Further study will be necessary to help with this evaluation.

82 9 Potential Application of GPT

The GPT has all advantages and characteristics of the VAWT. Also, the GPT doesn't have exposed rotating parts. All rotating parts are covered in enclosures. Therefore, the GPT is much safer than other VAWTs. The

GPT can have many applications, for instance, on buildings, towers, and bridges, including wind farms. Some of the application is illustrated in Figure 11

the application is illustrated in Figure 11.

87 10 Summary

88 Comparing to HAVTs, VAWTs have advantages of without long length blades and giant towers and no needing

89 large open areas so that VAWTs can be applied in the areas, such as metropolitan, community, and resident.

90 In the areas, VAWTs not only can efficiently utilize the wind energy but also may have cosmetic effects for the 91 buildings and surroundings.

Most of VAWTs have unique characteristics: replaceable and stackable. The replaceable character allows an existing VAWT to be altered to another type amazingly and the stackable character lets the VAWT be

 $_{94}$ upgraded conveniently to meet the load requirements. The GPT, a novel VAWT design, has no exposed rotating

- ⁹⁵ components which are completely covered in enclosures. The GPT prototypes were tested and the performance
- was significant, which showed the GPT could extract more wind energy comparing to the similar size HAWTs.
 The novel VAWT can have many potential applications, such as on buildings, towers, and bridges, including wind
- farms. ¹

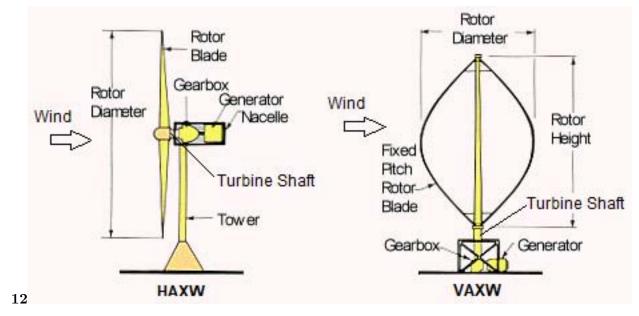


Figure 1: Fig. 1 : Fig. 2 :

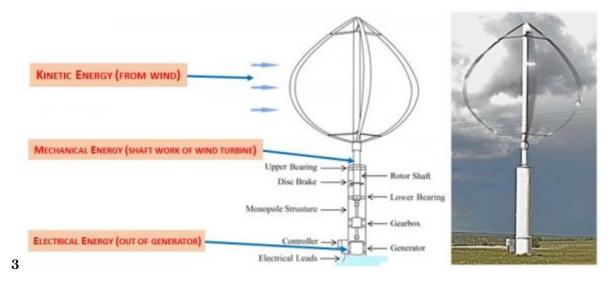


Figure 2: Fig. 3:

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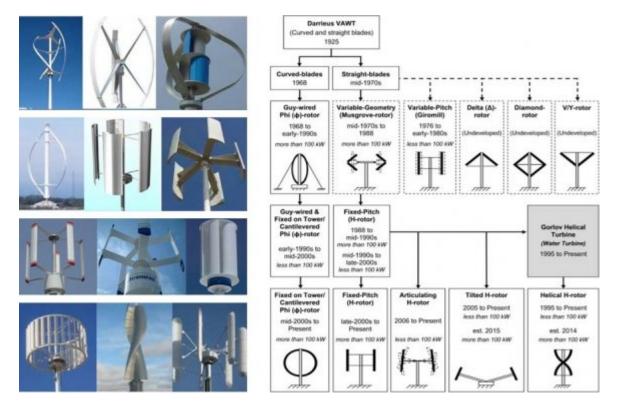


Figure 3: ©

FACTOR	VAWT	HWAT
Power Generation Efficiency	50-60%. Less wind flow usage and partial blades are in operation	>70%. More wind flow usage and all brades are in operation. Less aerodynamic loss.
Blade Ratotion Speed	Quite Small. Lower weight of the blade.	Quite Large. Heavy weight of the blade.
Vibration Levels	Low. Symmetricity to the shaft.	High. Heavy blades on one end of the shaft.
Noise	0-10db. Due to low vibration level.	5-60db. Due to high vibration level.
Required Wind Speed	Fair. Because of the lower weight of the blades.	Strong. Because of the haveay weight of the blades.
Starting Wind Speed	Fair. Because of the lower weight of the blades.	Strong. Because of the haveay weight of the blades.
Wind Direction	No Effect. Can operate with wind from any direction.	Sensible. Has to turn around and fact to the wind direction
Effect on Enviroment	Small. Rotation area is small. Lower noise.	Large. Rotation Area is huge. Higher noise. There is design and manufacture standard. Their height makes them obtrusively visible across large areas, disruption the appearance of the landscape.
Commercial Availability	Low. Has no standard.	High.
Installation Cost	Low. Major equipment is near ground.	High. Major equipment is on the tall tower. Massive towre construction is required to support the neary blades, gearbox, and generator. Tall tower are difficult to install, needing very tall and espensive cranes, and skilled operation.
Maintenance Cost	Low. Major equipment is near ground.	High. Major equipment is on the tall tower.
Transportation Cost	Low. Small size of tower and blades.	High. Giant size of tower and blades. The tall towers and blades up to 90 meters long are difficult to transport. Transportation can be 20% of equipment costs.
Wind Farm Application	Few. Since efficiency is lower.	More. High efficiency is more attractive.

Figure 4: lobal



Figure 5: Fig. 6 :



Figure 6: Fig. 8:



Figure 7: Fig. 9 :



Figure 8: Fig. 10:

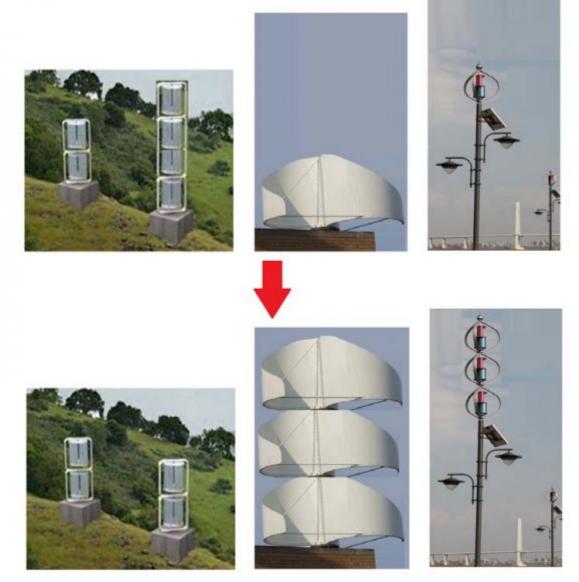


Figure 9: Fig. 11 :

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