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1	Investigation of Window Effects and the Accurate Estimation of
2	Spectral Centroid
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5	Received: 10 February 2015 Accepted: 1 March 2015 Published: 15 March 2015

7 Abstract

The spectral centroid is one of the useful low level features of a signal that was proposed for speech-music classification, speech recognition and musical instrument classification, and was 9 also considered one of the lowlevel features to describe the audio content in MPEG-7 Content 10 Description and Interface Standard. When the spectral centroid is computed from practical 11 data, the estimate is different from the true expected theoretical value. Moreover, the 12 behavior of the estimation error, when computed from finite length data i.e. from a short 13 segment of signal would of high interest because most of the classification algorithms use 14 dynamic features as the signals are nonstationary. In this paper, windowing effects on the 15 spectral centroid estimation are investigated considering some well structured signals that 16 appear frequently in speech and audio content. A novel algorithm is proposed to counter the 17 window effects and better estimation of spectral centroid. 18

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Index terms— spectral centroid, MPEG-7, sum of sine waves, band limited impulse train, STFT, peak detection.

22 1 Introduction

he spectral centroid (SC) is one of the low level spectral domain features of a signal useful in signal classification
or identification applications. The spectral centroid has been proposed by researchers in several applications
like estimating the timbral brightness of music [1], for discriminating between the speech and the music [2,3,4],
Speaker Recognition [5], Noisy Speech Recognition [6,7], Identification of Musical Instruments [8]. The spectral
centroid was also incorporated as one of the Audio Low level features for audio content in MPEG-7 multimedia
standard [9]. In [10], an AR(2) model based dynamic estimation of spectral centroid of a Narrowband Acoustic
Doppler Volume Backscattering Signal was proposed.

The spectral centroid represents the "center of gravity" of the magnitude or power spectrum of a signal. Perceptually, the spectral centroid is a measure of the brightness of a sound. The unit of such a centroid would be the unit of frequency, Hz. Intuitively, the spectral centroid of a single tone signal is the frequency of the tone

itself. Similarly, the spectral centroid of a signal having two equal amplitude real sinusoids is the mean frequencyof two sinusoids.

Mostly, the natural or real signals (e.g. speech, voice, audio, etc) are nonstationary in nature. Classification of such signals requires extraction of dynamic features that change with time. When spectral centroid is considered a promising feature, it is estimated dynamically from short segments of signal (one value of each segment), and the spectral centroid vector thus obtained for the entire signal becomes a feature vector for the classification system. The estimation of the spectral centroid from a short segment of signal data is a challenging task due to the windowing effects. In the literature, to the best of the knowledge of the author, no systematic study results

 $_{\rm 41}$ $\,$ were reported on the finite data effects on the estimation of spectral centroid.

In this paper, a systematic study is carried out on the estimation of spectral centroid from finite data of different lengths. The windowing effects on the estimation error are investigated considering certain deterministic signals that appear frequently in speech and audio content. A novel algorithm is proposed to counter the finite window

45 effects and for better estimation of spectral centroid. Well structured signals are used to make the bench marking

 $_{\rm 46}$ $\,$ easy, nevertheless the algorithm can be applied on any kind of real signals.

The remainder of the paper is organized as follows. The mathematical basics of spectral centroid are introduced in the section II. Short time fourier transform (STFT) for estimating the magnitude spectrum of the signal

49 dynamically is presented in section III. The proposed algorithm along with the flowchart is discussed in section

50 IV. Section V discusses the details of simulations and the test signals used in the simulations. Section VI presents 51 the results and discussions on the findings. Finally conclusions on the research work are drawn in Section VII.

52 **2** II.

⁵³ 3 Spectral Centroid

54 Mathematically, the spectral centroid of a continuous time signal y(t) is given by???? = ? ð??"ð??" 55 ??(ð??"ð??")??ð??"ð??" ? 0 ? ??(ð??"ð??")??ð??"ð??" ? 0(1)

where $??(\delta ??"\delta ??")$ is the one-sided magnitude spectrum of the signal y(t).

The counter part of the discrete time signal y(n) is given by???? = ? ?? ? |??(??)| ???1 ??=0 ? |??(??)| ???1 second sec

where ??(??) is the one-sided power spectrum of the signal y(n).

For example, the magnitude spectrum of a tone signal of unit amplitude and frequency F is an impulse at F Hz on the frequency axis. The spectral centroid of this signal is F Hz Itself. Similarly, the magnitude spectrum of a signal consisting of two tones of equal amplitude and frequencies F 1 and F 2 contains two equal amplitude impulses at F 1 Hz and F 2 Hz on the frequency axis. The spectral centroid of this signal is the mid frequency of F 1 and F 2 i.e. (F 1 + F 2)/2 Hz. If the amplitudes of two tones are not equal, then the spectral centroid is biased towards the higher amplitude tone. Figure 1 In each case, the sum of amplitudes is selected to be unity. This is to explait the amplitude or execution proceeding the spectral centroid to be unity.

 $_{66}$ $\,$ This is to make the amplitude spectrum resemble a probability function. The figure 1 $\,$

⁶⁷ 4 Short Time Fourier Transform

When fourier transform is applied on short segments of data to dynamically analyze the signal, it is called short time fourier transform (STFT). To carry out the the short term analysis of a signal, the given signal ??(??, ??) = 1 ???? ?? ?? ?? ?? ?? (?? + ????) ??(??)?? ??? 2?? ???? ???1 ??=0 ? 2 0 ? ?? ???? ? 1, 0 ? ?? ??? ? 1 (**3**) where k is the discrete frequency index, l is the time frame index, M is the hop size, K is the total number of bins of ones-sided STFT and L is the total number of frames. The spectral centroid is computed from the magnitude spectrum of each frame of signal, thus yielding a SC vector of length L., and is given by????(??) = ? ?? ??(??, ??) ???1 ??=0 ? ??(??, ??) ???1 ??=0 0 ? ?? ??? ? 1 IV.

75 5 Proposed Algorithm for Spectral Centroid Estimation

The input signal data is segmented into overlapped frames of frame size (W) with 50% overlap i.e. with a hop size of W/2. For each frame, Short Time Fourier Transform (STFT) is computed using FFT algorithm with Nfft points between [0,Fs/2]. The onesided magnitude spectrum is computed from the FFT output.

The algorithm for computing the Spectral Centroid is given in figure ??. When the steps in the dashed boxes A, B and C are eliminated, then the algorithm computes the spectral centroid using the equation (4) directly and it called the direct method here.

In the proposed method, a threshold STH is applied on the magnitude spectrum of each frame (operation: A) and a peak detection algorithm is applied on the spectral coefficients above the threshold (operation: B). Once the peaks are detected, magnitude spectrum is modified keeping only the peak values and making all other coefficients zero. The spectral centroid is then computed using this modified magnitude spectrum (operation: C). In this way the junk spectral coefficients (artifacts) which are produced due to finite data are get rid of from the computation process resulting in more accurate estimation of spectral centroid.

88 V.

89 6 Simulations

⁹⁰ The DFT spectrum is computed with 4096 points; thus for a sampling frequency of 44100Hz, the spectrum is ⁹¹ computed with a resolution of /4096=10.76Hz and the frequency grid is (0,

The algorithm is tested on the three categories of simulated test signals:? Tones ? Sum of Tones ? Band Limited Unit Impulse Trains a) Test Data Set:1 (Tones)

In the first category, a set of 41 sine wave signals of frequencies: 96.9Hz, 635.23Hz, 1173.56Hz, ? , 21091.77Hz,

 $_{95}$ 21630.10Hz with a uniform spacing of 538.33Hz and random amplitudes in the range [0,1] are generated. These

spot frequencies are selected so as to coincide with the DFT grid points on the frequency line (0 - Fs/2) i.e. 0Hz -22050Hz, where Fs=44100Hz.

⁹⁸ 7 b) Test Data Set:2 (Sum of Tones)

In the second category, a sum of 5 or 10 or 50 sine waves of distinct frequencies are generated. In each case, the sine waves are separated with a uniform spacing of 10.76 Hz or 96.90Hz or 495.26Hz. These spacing are selected so as the generated frequencies coincide with the DFT grid points. In each set of 5 or 10 or 50 frequencies, the first frequency is taken from one of the 41 spot frequencies of the first category, the total number of composite

signals generated under this category is $41 \ge 3 \ge 369$.

¹⁰⁴ 8 c) Test Data Set:3 (Band Limited Unit Impulse Trains)

105 In the third category, a set of Band Limited Unit Impulse Trains (BLUITs) each with a different fundamental

¹⁰⁶ frequency is generated. The frequencies of 41 sine waves of first category are used as fundamentals, thus we get

¹⁰⁷ 41 sets of BLUITs. The spectral envelope of each BLUIT can be constant (i.e. 0dB/Octave) or decay at a rate ¹⁰⁸ of 12dB/Octave. The Fundamental frequencies and number of harmonics in each BLUIT (=0.5 F s /F 0) are

108 of 12dB/Octave. The 109 given in the table 2

110 9 Results

In this section, the results obtained by applying both the direct and proposed methods are presented. The performance comparison of both the methods is also given. The SC estimation results of Test Set-1 (Tones) signals of frequency spanning from 96.8994 Hz to 21630.1025Hz of 0.5 sec duration (hamming window size is 512, Fs=44100Hz) for both direct and proposed methods are given in Table ??2. Each row in the table 2 corresponds to the estimated SC vector of a particular tone frequency of duration 0.5 seconds of full length signal corresponding to a total of 22050 samples. Both the mean (μ) and standard deviation (?) of this estimated spectral centroid vector is computed and given in the 3 rd column of the table 2.

The estimated errors for direct method are large at both the lowest and the highest frequencies in the range. For the lowest (start) frequency the error is negative and for the highest (end) frequency it is positive. It means the direct method over estimates the SC at lower frequencies and under estimates at the higher frequencies. This is because of the fact that for lower frequencies, the spectral mass distribution on either side of the tone frequency is unevenly distributed and is more on the right (higher frequency) side.Hence, the estimated values shift towards the higher side of the frequency axis.

Similarly, for higher frequencies, the estimated values shift towards the lower side of the frequency axis. As the 124 frequency of the tone is spanned from the lowest frequency (96.8994Hz) to the highest frequency 21630.1025Hz), 125 the mean error (μ) reduces and becomes zero at the middle of the range i. 2). For each tone, the standard 126 deviation (?) is also computed. The estimation results of the proposed method for the same set of signals are 127 given in the 5 th and the 6 th columns of table 2. This method exactly estimates the SC and hence both the 128 mean (μ) and standard deviation (?) are zeros. The spectral threshold STH is chosen as the 0.02 fraction of the 129 maximum value of the magnitude spectrum, which corresponds to about -14 dB down the peak value. This is 130 approximately the side lobe level (SLL) of the spectrum of rectangular window. For other windows the SLL is 131 always less than -13dB, though the main lobe width is more compared to that of a rectangular window, which 132 anyway does not affect the peak detection process. 133

The estimation results of table 2 are also shown in figure 3(a) for both direct (solid line) and proposed (dashed 134 line) methods are shown. For direct method, the RMS range of the estimated Centroid is marked as red vertical 135 lines at each point. For the proposed method the estimated value is exactly equal to true value, hence the RMS 136 range is zero. Thus no red vertical lines are seen on the dashed line. The figure (b) shows the similar results for 137 window size is 256. The estimation error follows a regular pattern for window size of 512 sample compared to 138 the error for 256 sample window. This is due to the fact that the data has become too short to get a meaningful 139 estimate. However, the error is almost symmetric around the middle frequency i.e. Fs/4. This symmetry would 140 be disturbed if the window size is further reduced. The error becomes more for lower frequencies, as more number 141 of cycles of the signal are not included in the short segment. So the window size is to be carefully selected based 142 on the lowest frequency under consideration so that considerable number of signal cycles are included in the 143 window. The figure ?? The results say that the estimation using the proposed is always better than that of the 144 direct method. The accuracy is extremely well for larger spacing of tone frequencies, the reason being the better 145 separation of. spectral peaks. 146

147 **10** Conclusions

In this paper, windowing effects on the spectral centroid estimation are investigated considering three types of well structured signals: Tones, Sum of Tones and Band Limited Unit Impulse Trains. These test signals are considered because they appear frequently in speech and audio content. The spectral centroid is estimated using two methods: (1). the direct method using the equation 4. (2). The proposed method that uses threshold and peak detection on the magnitude spectrum. The proposed algorithm is shown to estimate the spectral centroid



Figure 1:

more accurately compared to direct method for all the signals under consideration and for all window lengths. 154 1 2

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Figure 2: Fig. 1 :



Figure 3:



Figure 4: Fig. 3:







Figure 6: Fig. 6 : Fig. 7 : Fig. 8 :

 $\boldsymbol{910}$



Figure 8: Figure 9 : Fig. 10 :

(b)



Figure 9:



Figure 10:

Figure 11:

Thus the total data set comprises 450 (=41 + 369 + 40) differently structured test signals. VI.

Figure 12:

1

Figure 13: Table 1 :

Tone True Spectral Spectral Centroid SCEst. Spectral Centroid SCYear Error Est. 2015Error Centroid (Hz) (Estimated (Direct (Estimated by Pro-(Prop85ed by Direct no Method)(Hz) Method) posed Method) Method) (Hz)(Hz)(Hz) $1\ 2$ (1)96.8994 (2) $634.033 \pm$ 103.2825(1)-(2)(3)96.8994 (1)() 3 4 635.2295 \pm -537.130 635.2295Vol-1107.9746 114.8693 \pm _ \pm (3) $5\ 6$ 1173.55961608.3049 \pm 107.8578 -472.750 1173.5596ume 78 \pm \pm 0 0 XV 1711.8896 2117.5429 99.1608 -434.750 1711.8896 9 2250.2197 2623.4037 \pm 94.3929 -405.65 \pm 0 2250.2197 0 0 Is--373.1810788.5498 \pm \pm 0 2788.5498 0 0 3131.4122 89.3034 sue 11 3326.8799 3642.626 \pm 86.5037 -342.86 \pm 0 3326.8799 0 0 IV 0 0 12 \pm 81.3724 -315.75 \pm 0 3865.21 \pm Ver-3865.21 4403.54 4158.9111 \pm 1379.7196 -293.74403.54 \pm 0 0 0 sion 4941.8701 4669.3952 0 145480.20025183.2597 \pm 76.1674 -265.864941.8701 \pm 0 0.0 Ι \pm J 6018.53035698.36675.0958-241.395480.2002 \pm 0 6556.8604 6217.31 \pm 71.2696 -218.176018.5303 \pm 0 7095.1904 6730.1438 \pm 70.2138 -198.786556.8604 \pm 0 7247.1104 ± 67.5403 7095.1904 ± 0 -173.28-151.9215 \pm -130.920 0 0 of 7633.52057764.439 66.7206 7633.5205 \pm \pm 0 0 0 Re-168171.8506 8283.9504 64.5743-112.18171.8506 \pm 178710.1807 8797.6702 \pm 64.3643-87.498710.1807 \pm 0 0 0 searches 0 0 189248.5107 9316.0265 \pm 63.4916 -67.529248.5107 \pm 0 in 199786.84089834.3672 \pm 63.363-47.53 \pm 0 0 0 En-9786.8408 20 \pm \pm 62.7943 -28.610 gi-10325.1709 10353.7776 10325.1709 0 2110863.501 10867.8735 \pm 62.924 $-4.37 \ 14.7$ \pm 0 10863.501neer-2211401.8311 11387.1281 \pm 63.155434.4711401.8311 \pm 0 ing 2311940.1611 11905.6919 \pm 63.1063 54.0311940.1611 \pm 0 24 \pm 63.3744 78.44 \pm 12478.4912 12424.4625 12478.4912 0 25 12938.3795 ± 63.3481 13016.8213 13016.8213 ± 0 2697.26 \pm 0 0 0 Global 13555.1514 13457.8904 \pm 64.794813555.1514 2714093.481413975.7026 \pm 65.3201117.7814093.4814 \pm 0 0 0 Jour-28 \pm $0 \ 0$ 14631.8115 14493.3087 \pm 67.2566 138.514631.81150 nal 29 \pm 15170.1416 15006.592 \pm 67.9661 163.5515170.1416 0 30 15708.4717 15525.9341 \pm 71.2219182.5415708.4717 \pm 0 3116246.8018 16042.2271 ± 72.4166 204.57 16246.8018 ± 0 3216785.1318 16557.6751 ± 75.7913 227.46 $16785.1318\,\pm\,0$ 0 33 17323.4619 17069.2012 ± 76.9287 254.26 17323.4619 ± 0 0 34 17586.7432 ± 81.2453 17861.792 275.05 17861.792 ± 0 0 3518400.1221 18099.7754 ± 83.0062 300.35 18400.1221 ± 0 0 18610.6458 ± 87.3431 36 0 18938.4521 327.81 18938.4521 ± 0 37 19118.961 ± 90.5547 0 19476.7822 357.82 19476.7822 ± 0 38 0 20015.1123 19632.1551 ± 97.5527 382.96 20015.1123 ± 0

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39

40

41

20553.4424

21091.7725

21630.1025

414.57

452.48

494.05

 20553.4424 ± 0

 $21091.7725\,\pm\,0$

 21630.1025 ± 0

0

0

0

 20138.8757 ± 102.7702

 20639.2884 ± 109.3145

 21136.0545 ± 116.1857

10 CONCLUSIONS

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