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# Shadowing Pathologies of Diaphragm Wall Concretes: General Overview

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## Abstract

This paper provides a general overview regarding shadowing pathologies that have been observed on diaphragm walls after excavation. This phenomenon, also termed mattrassing by the European Federation of Foundation Contractors and the Deep Foundation Institute, on wall panels, as its name suggests, is the imprint of the reinforcement cage in the concrete block. While some mattrassing is not necessarily evaluated as defect, durability issues put at risk the integrity of the structure. In some cases, the steel reinforcing bars are directly exposed to the open air, promoting corrosion phenomena, and thus harming the entire structure. Upon investigating the preliminary findings of the scientific literature, this article has defined the factors that are likely to affect the occurrence of these anomalies. These factors may be divided into three main families: diaphragm wall components, executed techniques during construction, and interactions between the components throughout the different construction stages. Recommendations presented by previous studies are also discussed.

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**Index terms**— diaphragm wall, shadowing pathology, mattrassing, deep foundations.

I. Introduction he diaphragm wall technique, which has been around since the early 1960s, involves in creating reinforced concrete walls in the ground. It is an operation that avoids the risk of soil destabilization by fulfilling the function of a retaining wall. The waterproof wall can also act as a vertical support element making of it another type of foundation. Due to this dual function, its field of application is seen in both building and public works (metro stations, car parks, covered trenches).

The construction of a diaphragm wall is executed in panels. A typical panel length is 4 to 7 m depending on several aspects like the dimensions of the drilling tool and the maneuverability of the reinforcement cage, as well as the quantity of concrete to be delivered on site. The thickness varies between 0.5 and 3 m, and walls deeper than 50 m are very rare. Excavations are carried out until the designed depth is reached and the panel is consequently formed by placing the reinforcement cage and then pouring the concrete in the trench continuously stabilized under a support fluid consisting of a drilling slurry. Once the concrete has hardened, excavations within the now concrete-wallenclosed area can proceed.

In some cases, as the soil from one side of the structure in the newly open area is being removed, imperfections on some concrete panels may appear. One type of defects would then be shadowing pathologies. The reinforcements of these walls are sometimes visible, which causes structural (reduction in panel cross sectional area), aesthetic (rebar exposure) and durability (accelerated corrosion) problems. The additional cost of correcting the work becomes potentially very important in terms of time and money [1] and makes this problem an essential issue for the profession.

## 1 II. Physical Phenomena

Shadowing pathologies or Mattrassing also referred to as « Honeycombs » or « Quilting » are defined as imperfections observed on both pile and panel surfaces after planing operations. Vertical and horizontal linear features arise on the concrete wall along the reinforcement cage while materials other than concrete may be trapped in the shadow of the reinforcing bars. In some cases, this phenomenon is widely spread all over the diaphragm wall, that it could be considered as the imprint of the reinforcement cage on the concrete block.

45 While some mattressing (Figure 1 & 2) is not necessarily evaluated as defect since it does not have any impact on  
46 the bearing capacity [2], accelerated corrosion phenomena put at risk the durability of the structure [3]. Besides  
47 the fact that cover thickness is reduced, the event becomes further critical if the steel rebars are directly exposed.  
48 In the absence of sufficient concrete cover, the necessary protection (barrier) for steel rebars against oxygen,  
49 moisture and chlorides is no longer provided [4], hence the effect of the shadowing pathologies on the structural  
50 service life of diaphragm walls. There are varying degrees of mattressing where ones can be more dangerous than  
51 others. Most of the time, excavations take place only from one side of the wall whereas the other side remains  
52 in direct contact with the soil. Thus, if visible defects (Figure 3) regardless of their degree of occurrence can  
53 be identified and repaired, there are still interrogations on the quality of the non-excavated and non-planed wall  
54 surfaces. The formation of this type of pathology may depend on several mechanisms until now poorly identified.  
55 If some [5] are associating the mattressing phenomena with restricted concrete flow or a dense reinforcement,  
56 Carter [6] might relate it to borehole stability and moisture content of the surrounding soil.

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60 To better understand the problem of imperfections on diaphragm walls, a general overview regarding previous  
61 studies is established. Hence, this paper defines the present status concerning "shadowing pathologies". The  
62 purpose of this work is to reveal different points of failure highlighted by several investigations allowing to  
63 underline the probable causes of the disorders.

## 64 3 III. General Interpretation

65 Several reasons can lead to the occurrence of shadowing pathologies. Factors that can be found in the literature  
66 may be divided into three main families:

67 -Diaphragm wall components -Executed Techniques during construction -Interactions between the components  
68 throughout the different construction stages.

69 In the following sections, the general concept of each family will be described as well as some underlined items  
70 of interest that might contribute directly or indirectly to the existence of the pathology.

## 71 4 a) Diaphragm Wall Components

72 The aim of the proposed investigation is to study specifically all the parameters that are involved when  
73 diaphragm wall panels are being built. Multiple elements could be influential: the soil, with its physical and  
74 hydraulic activities, drilling slurry characteristics before concreting, the quality of the rebars and concrete with  
75 its rheological behaviors but also its physicalchemical and mechanical properties.

76 i. Soil Nowadays, it is possible to complete diaphragm walls technique in almost all types of soil [10]. Thus,  
77 with no restriction on soil type and when using the right equipment, execution of panels is carried out conveniently  
78 with no special considerations according to each type.

79 However, detailed field monitoring on four subway station sites during the construction of the Suzhou metro  
80 in China (Figure 3), has shown exposure of rebars where diaphragm wall panels were constructed in silty  
81 sand to silty clay layer [9]. In fact, depending on the soil profile and the configuration of the diaphragm  
82 wall (length of panels and construction sequence), horizontal displacements of the inner trench wall face can  
83 be important. Stability of the excavated trench as well as ground response including settlement and lateral  
84 deformations depending on the soil type can therefore be related to the occurrence of some arising defects like  
85 mattressing imperfections. Another study [11] has exposed situations in which soil (usually sand or silt) was  
86 not handled properly. In some cases, coatings of granular soil prevented longitudinal reinforcement bars from  
87 bonding to the concrete.

88 Several conclusions were made regarding soil moisture content and groundwater horizontal flow. A study [6]  
89 suggested that when drilling in cohesive soil, excess pore water will certainly dissipate endorsing an increase of the  
90 void ratio. This event will allow swelling of the surrounding soil. On the contrary, if the soil is now hydrophilic,  
91 during the concreting process, water existing in the concrete will be attracted by the nearby ground [12]. Hence,  
92 the rising concrete loses its fluidity and slows down between the cage and soil interface. This phenomenon could  
93 thus induce shadowing pathologies.

94 Another factor related to the soil component is the level of the water table. While some [13] might think

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that the presence of groundwater is the first element leading directly to the honeycombing disorders, a study [14] has revealed that it cannot be considered as the primary cause of anomalies as other parameters like materials properties and borehole cleanliness showed more significant effects.

ii. Slurry Drilling slurry also called support fluid in Civil Engineering is a stable suspension generally made of polymers or colloidal clay used to support the sides of open trenches during excavations. In Europe, Bentonite clay is the most common mud utilized in diaphragm walls construction. Many research works have dealt with this type and very few observations have been made regarding polymer suspensions. According to [15], the occurrence of mattrassing on laboratory placed shafts was much more remarkable for specimens cast in bentonite slurry compared to polymers suspensions, but the studies have been processed without respecting the bentonite slurry recommendations, so these conclusions would have to be confirmed.

Bentonite is a natural clay, which mainly contains smectites. It has typical characteristics such as very fine particle size, and very high specific surface as well as great sensitivity to hydration. With very little dry substance, it can produce stable suspensions and that is because of its water-retaining power and large grain swelling capacity. By this means, the stabilizing fluid consists in mixing bentonite powder, dosed between 30 and 50 kg per m<sup>3</sup> of water. The mixing process is very crucial in developing slurry's rheological properties. Higher initial viscosity and gel strength are measured with greater agitation and longer mixing time [16].

The mix has a density slightly greater than that of water and consequently the fluid is more viscous. Hence, the final mixture can provide stability for the open trenches without infiltrating into the adjacent permeable soil. Some observations have shown that a dense slurry which exerts adequate hydrostatic pressure for stabilization purposes can be trapped at the bottom of the trench [17]. This incident might be related to the quality of the walls. However, Mullins and Ashmawy [14] have shown that despite reliable values for the density and the Marsh viscosity, some panels with fluids showing high sand content suffered from a serious problem of rebar exposure.

During drilling phases, the slurry is loaded with sediments from the excavated soil and must thereby be de-sanded before concreting. If the sand content is greater than 4%, the slurry should either be sent directly to the desander and then return to the excavation in a closed circuit or be completely removed and replaced by a clean mix. De-sanding treatment can be automatic by mud recycling in sand separator sieves. Otherwise, the treatment can be chemical by adding sodium carbonate to increase the pH and restore the support fluid properties. Most commercial bentonite have a pH range between 9.5 and 10.5. However, the pH of the slurry can be reduced if contaminated by acidic groundwater [16]. Regarding pH modification, a study has shown that when pH measures relatively acid values, the stress necessary for the material to flow (yield stress), increases. On the other hand, if pH units increase, yield stress decreases [18]. The study also reveals that the chemical structure of the bentonite would be probably attacked in a very highly acidic media. A correlation between the contamination of the slurry and its rheological properties may be distinguished.

Another advantage of this support fluid would be its thixotropic behavior. In the absence of shear stress, this viscous fluid is altered and will form a gel like material. In contact with a porous surface like at the interface of the soil, the bentonite slurry forms naturally, by jellification and filtering, a very low permeable membrane called filter cake [19]. The role of this membrane consists in creating a barrier against the surrounding soil. Fluid exchange between the drilling slurry and soil interstitial solution would be restricted. Hence, the stabilizing fluid would resist the hydrostatic and lateral pressures generated by the water table and the adjacent soil. Figure ?? shows theoretically how a bentonite filter cake is formed on an inner trench wall face. So far, the creation of filter cake has not been the center of many researches. Some studies [19] [20] have presented it as a combination of bentonite and excavated soil. It is formed as the drilling slurry is being pumped into the trench under excavations when bentonite particles are being pushed near the interface and filtered out by the nearby permeable soil (Figure ??). As it is mentioned earlier, this phenomenon occurs automatically with no specific intentions. For that matter, there is no real guarantee that the bentonite slurry had formed a filter cake all along the excavated trench. Filz et al [20] have identified some criteria to ensure filter cake formation. However, the effectiveness of the support fluid remains uncertain in providing sufficient lateral forces. Furthermore, if bentonite mud is supposedly being filtered throughout the permeable interface, there are no definite information about the thickness of this membrane.

For example, a thin filter cake, could be associated with poor impermeability whereas a thicker membrane is supposed to endorse the application of hydrostatic pressure by limiting the infiltration of groundwater into the trench. However, a slurry which forms a good membrane may be too resistant to flow and therefore might not allow good spreading of the poured concrete [17]. Another consequence due to a thick filter cake is the reduction of the panel thickness. The latter is associated to a reduction of the cover zone and thus poor concreting quality.

To determine the filtration properties of the support fluid, a pressure driven test based on filter loss measurements is implemented. The apparatus, called Baroid filter press, consists of a cell filled with drilling slurry, maintained under a pressure of 100 psi for 30 minutes. The volumes of fluid loss measured at the end gives an idea of the behavior of the material. Afterwards, the thickness of the cake is determined. These values are

156 then compared to the recommended values (Table ??) which do not consider the type of soil encountered. This  
157 test is applied on site, in the exact same way (pressure of 100 psi) regardless of the soil type, the panel length  
158 or the pressures generated by the excavation. And the resulting cake thickness is assessed depending only on the  
159 slurry characteristics and do not consider soil -slurry interface.

160 The European Standards for special geotechnical work, diaphragm walls section, specifies general characteris-  
161 tics for bentonite suspensions for each of its three different stages. The properties to be attained are indicated  
162 in the Table ??.

163 Table ??: Characteristics of support fluid [21] iii.

## 164 7 Rebars

165 Mattressing phenomena are characterized by marks following the trail of the vertical and horizontal bars of the  
166 reinforcing cage. In some cases, the rebars are exposed. Some aspects related to this component may therefore  
167 be linked to the origination of the anomalies.

168 The depth of diaphragm walls is usually very important. Consequently, reinforcing cages are being assembled  
169 from long and massive bars to assure appropriate lengths. Such heavy weights will generate stresses that  
170 develop in the bars. Loadings may cause significant and unpredictable deformations, possibly leading to buckling  
171 phenomena. For that reason, it is important to evaluate the quality of the steel bar as it must be rigid enough for  
172 not to deform during handling operations [10]. A change in shape can cause verticality defects of the reinforcing  
173 cage along with a much serious problem like the reduction of the concrete cover or even bars exposure.

174 In addition to great depth, diaphragm panels carry the advantage of fulfilling retaining walls function. The  
175 reinforcement strength must be determined as suitable for the full design life of the structure. With regards  
176 to these factors, design calculations may result in integrating large and thick rebar diameters with little and  
177 narrow clear spacing. Furthermore, the cages will be subjected to significant pressures due to the concrete  
178 flow. Thereby, it must be taken into account to incorporate enough horizontal steel [10]. The clear spacing is  
179 determined according to Eurocode 2 [22]. In some cases, the values recommended may limit the ability of the  
180 concrete to flow throughout the steel rebars [2]. In fact, a trace of the reinforcement cage has been observed  
181 on site where excessive steel has been applied [7]. A study detailing concrete flow in drilled shafts showed that  
182 single reinforcement cages led to fewer defects than doubled cages [4]. iv. Concrete Tremie concrete for deep  
183 foundations are one type apart from normal concretes because of their specific properties in the fresh state and  
184 their application without vibration. The diaphragm wall concrete must be sufficiently fluid to properly occupy the  
185 entire volume of the excavation [10] and at the same time, appropriately compact to correctly pile up by simple  
186 gravity without infiltrating into the slurry. This characteristic is guaranteed when enough concrete flowability  
187 and workability retention meet appropriate stability [2]. Flowability or workability describes the ability of fresh  
188 concrete to behave as a liquid and pass through the gaps of the reinforcing cage [23]. Workability retention or  
189 workability life illustrates the prior property as a function of time [23]. It estimates the duration that could  
190 maintain a fresh concrete with sufficient workability. For tremie concrete, this duration must correspond to the  
191 time a panel take to be placed. As for stability, this property defines the ability of fresh concrete to retain its  
192 water under pressure. Once discharged, the concrete is subjected to pressure generated by the surrounding soil  
193 on the sides and the fresh newly poured concrete above. Under these circumstances, the fresh concrete must act  
194 as a soft solid and "deform" instead of losing its water. The dual challenge, to alter between liquid state and soft  
195 solid, depends on the rheology of the concrete. The rheology is defined by the response of the material under the  
196 effect of an applied force. With the increase in stress, the strength that binds the matrix together would decrease  
197 allowing the fluid to flow. Nonetheless, there won't be any flow under lower stresses where the mix behaves like  
198 a solid. The rheology is represented by two main properties: the yield stress and the plastic viscosity. In order  
199 for the diaphragm wall concrete to flow easily under its own weight, the latter should maintain a very low yield  
200 stress as well as a small plastic viscosity [24]. Depending on the rheological properties of the concrete, the latter  
201 may be too resistant (high viscosity) during placement that when encountering the reinforcing cage, is unable  
202 to wrap around the rebars and cover the external sides leaving behind voids along the bars. Some studies have  
203 linked observed damages on panels described as honeycombs, with either insufficient concrete quality and lack of  
204 stability or poor bonds with reinforcement and lack of workability [5]. Another study describes the mattressing  
205 phenomena as inclusions in the cover zone caused by an insufficient concrete flow due to reduced workability  
206 performance [1].

207 The rheology of concrete does not only depend on its age when concreting but it is also a function of its  
208 composition. The type of aggregates used in the concrete mix has a direct impact on its workability. Crushed  
209 aggregates have an angular shape and a rough surface, which significantly increase the yield stress and the  
210 plastic viscosity, thereby reducing the flowability of the mixture. On the other hand, the smooth surface of  
211 round or natural aggregates reduces the friction of the internal particles and leads to an increase in fluidity [25].  
212 Furthermore, aggregates with a relatively large diameter ( $D_{max}$ ) restrict the flow of concrete between the  
213 rebars. For that reason, the specified  $D_{max}$  shall not exceed the minimum of 32 mm and  $\frac{1}{4}$  of the clear space  
214 between the vertical bars [26]. A well graded aggregate particle distribution is essential, since grading minimizes  
215 the risk of instability [27]. A reduced flow related to insufficient concrete workability is related to a lack of fines  
216 in the aggregates distribution combined with little water content [1].

217 As for the binder, its type is conditioned mainly by the level of aggressiveness of the nearby environment.

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218 However, its composition is related to the rheological properties of the paste. It is recommended to use  
219 supplementary cementitious materials (SCMs) to improve concrete workability [21]. On a one hand, the addition  
220 of slag reduces the plastic viscosity and a study has shown that it also reduces the yield stress of the mixture  
221 regardless of the water -to -cement ratio [28]. Adding fly ash, on the other hand, increases the viscosity of the  
222 mix, reducing thereby concrete's tendency to bleed and increasing the stability of the mix [29].

223 The fineness of the cement has as well an influence on the workability and stability of the concrete mix. A  
224 fine cement requires more water to hydrate. This means that, for the same W/C, lesser water would be free to  
225 flow between the particles. A recent study has proposed to increase the specific surface of the cement (Blaine  
226 method) to 4000 m<sup>2</sup>/kg for diaphragm walls concrete mixes [30]. Other works suggest to partly replace the  
227 cement with ultra-fine additions significantly finer than the cement to decrease the viscosity and the yield stress  
228 [2].

229 If the quantity of water is reduced to avoid segregation and bleeding, it is essential to find other means to ensure  
230 good workability. The specific properties of diaphragm wall concrete are quite often ensured by the addition of  
231 water reducing admixtures. The superplasticizer makes it possible to improve the fluidity and facilitate concrete  
232 flow by reducing the intensity of the interactions between the grains of cement. This change is however, causing  
233 a risk of

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238 gravitational instabilities which results in an upward vertical migration of water within a freshly poured concrete  
239 [31]. On a one hand, this mechanism can, when mixing to the cement, give rise to side effects like excessive  
240 segregation, if not well dosed [32]. On the other hand, an insufficient application of admixtures in the tremie  
241 concrete can contribute indirectly to matting imperfections since these defects are related to insufficient lateral  
242 flow [1].

243 The practical considerations and proportioning of the mix design previously determined are not enough to  
244 guarantee desired concrete quality.

245 Test methods are applied on trial mixes to verify that the rheological properties fall in the accepted ranges.  
246 It has been shown that poor concrete quality is, in some cases the consequence of inadequate mixing speed.  
247 Numerous tests describe the rheological state of the tremie concrete. In practice, the tests carried out on site are  
248 two. The slump test in accordance with NF EN 1538 [21] must fall in the range of 210 +/-30 mm and a slump  
249 flow between 600 +/-30 mm.

250 There is also another test that gives a measure of the stability, the recently developed BAUER filter press. This  
251 testing device provides a method for investigating the water retention ability of fresh concrete under pressure.  
252 The guide values for the stability of the deep casting concrete recommends a filtration value  $\leq 22$  ml known as  
253 15 l/m<sup>3</sup> for panels with a depth greater than 15 m. However, to follow up on recent research studies, it has been  
254 proposed to bring this value to 10 l/m<sup>3</sup> [12].

## 255 10 b) Executed Techniques

256 The execution of diaphragm wall panels constitutes of a succession of operations. Prior to the construction work,  
257 attention must be drawn to local conditions of the site to ensure good implementation of the walls. A study of the  
258 paneling and the order of execution must be well-thought-out to limit the vagaries during the construction [33].  
259 The construction methodology is explained in the following sequences. The first operation comprises into boring  
260 a trench, constantly supported by the stabilizing fluid. Once the excavation process is achieved, the reinforcing  
261 cage is introduced into the trench and the tremie pipes are placed at the center of the panel. Then concrete  
262 placement begins where the latter should displace the slurry upwards to be consequently evacuated. Although  
263 the success of this technique depends on the properties of the materials, the right application of these procedures  
264 is important in the good implementation of the diaphragm walls.

265 Nowadays, there are several international codes and standards of practice in the scientific literature that cover  
266 the different points of this technique. The aim of this section is to apprehend some missteps that could be related  
267 to the matting pathology.

## 268 11 i. Boring Process

269 The diaphragm walls are drilled from the platform level using various types of mechanical devices depending on  
270 the soil encountered [10]. The drilling tools must respect the exact dimensions of the panels as the thickness is  
271 conditioned by the absolute width of the drilling tool (usually 50 cm to 150 cm). The cover of the reinforcing  
272 cage depends consequentially on the boring operations since no temporary casing is used and the concrete may  
273 have difficulties in flowing to the top (little space between the ground and the reinforcement). Several studies (  
274 [14], [21] and [11]) have mentioned borehole cleanness for being one of the primary cause for arising defects. If

275 the bottom of the excavation has not been cleaned out correctly, settled materials may be pushed to the sides  
276 of the excavation and eventually become attached to the steel rebars [11]. During drilling operations, it is the  
277 verticality of the tool that conditions the proper execution of the foundation element. In fact, the European  
278 standard [21] allows a tolerance of 1% with respect to the depth, for the verticality of the panels. On several  
279 sites, a tolerance of 0.5% is applied and is limited to a maximum of 20 cm. Deviations from the face of the trench  
280 are deeply linked to the general stability of the panel. Some of the factors that influence these deviations is the  
281 type of tool used, the method of soil extraction and the speed of excavation [17]. The same study underlines the  
282 necessity of a slow excavation speed in order to minimize the disturbance of the soil at the internal face of the  
283 wall, by allowing a proper formation of the filter cake. Investigations held by Tong et al [9] on several construction  
284 sites determined that exposed rebars were observed on sites where the speed of excavation was slightly higher  
285 than others. Another study [11] has indicated that when excavating a granular stratum too quickly, the drilling  
286 operations may produce an undercut zone disturbing the hole stability.

## 287 12 ii. Stability of the Excavation

288 The previously described thixotropic slurry continuously ensures the stability of the open excavation. The support  
289 fluid supply is provided simultaneously as the soil is being extracted, so that the rate of supply replaces directly  
290 the volume of the excavated soil.

291 To avoid any landslides, pumps are being kept in motion to ensure the continuity of the fluid supply and may  
292 be left running to recirculate the slurry when delivery is not required. While continuous pumping was considered  
293 harmful for synthetic polymer based fluids, as significant degradation of the fluid properties was observed, it has  
294 been shown that the circulation through a centrifugal pump turned out to be beneficial for bentonite muds since  
295 it prevents settlement and improves hydration [19]. On the contrary, leaving mineral slurries in an excavated  
296 trench of a granular soil

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301 without agitation leads to the formation of a thicker filter cake [11]. The resulting impermeable membrane is  
302 thus too dense to be easily replaced by the flowing concrete. Figure ?? illustrates a thick filter cake formed on  
303 the side of a borehole in granular strata.

304 The continuous motion allows the level of slurry to be maintained in the excavation. The latter has an influence  
305 on the lateral soil displacement of the open trench. When the level of slurry was lowered to 1 m below its original  
306 level, a 60% increase of the horizontal soil displacement was detected [9].

307 Figure ??: Thick filter cake formation due to stagnant slurry [11] iii. Reinforcement Cage After bringing a  
308 trench wall excavation to stability, the reinforcing cage is installed into the panel. Anomalies in the concrete  
309 block like "shadowing pathologies" can also be the result of incorrect placing of the reinforcing cage. Any element  
310 to be introduced into the excavation, is liable to movements during concreting. This may create retention zones  
311 for slurry or polluted concrete during the concreting of the panel [33].

312 If verticality defects are mainly related to change of shape of the rebars, non-compliance with the recommen-  
313 dations of the standards [21] can lead as well, to a deviation from the side of the wall. As it goes down, spacers  
314 should be placed every 3 to 5 m on each side to ensure the centering of the cage in the trench.

315 The standard also requires not less than 75 mm as minimum cover. However, Delisle [34] suggests that it is  
316 essential to provide 100 mm to prevent cover defects.

317 iv. Concreting In the case of diaphragm walls, concrete placement remains the most delicate operation. Any  
318 small misstep risks causing damages to the final structure.

319 Prior to concreting, the base of the excavation should be cleaned of any loose debris. The debris can be  
320 trapped in the initial concrete discharge and may accumulate in the interface layer [2]. Hence, the now polluted  
321 concrete finds more difficulties in covering every part of the excavation.

322 Concreting is usually done using the tremie pipe method, which consists of a pipe and a filling hopper at the  
323 top. The vertical column whose base reaches the bottom of the trench, helps guide the concrete flow. If the first  
324 concrete is not properly discharged, it ends up being dispersed and trapped at the lower and the lateral parts  
325 of the borehole (Figure 7) and does not therefore rise uniformly and entirely when the pouring proceeds. This  
326 phenomenon affects the quality of concreting and disrupts the lateral friction between the cementitious material  
327 with the excavation boundaries [35]. Any disturbance of the tremie pipe position can also lead to segregation  
328 problems and pollution incidents. Depending on the panel length, it may be necessary to use several tremie pipes  
329 simultaneously to ensure a uniform rise. In order to guarantee the integrity of the panel, the concrete rising  
330 speed shall not be less than 3m/h [21].

331 A study using concretes of different colors helped to better understand the process of concreting in diaphragm  
332 walls. Experiments (Figure 8) on freshly poured panels indicate that the concrete sitting in the central area is

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333 being pushed outside the reinforcement cage when new fresh concrete is added [7]. Figure 9 shows mattressing  
334 features observed on one panel, where steel bars significantly influence the horizontal flow of concrete in the cover  
335 zone and no significant flow upwards has occurred. During the first concrete discharge, areas between the rebars  
336 and the surrounding soil were not filled with concrete, due to dense reinforcements. However, when enough  
337 pressure at the outlet of the tremie pipe is attained, the previously unfilled areas were eventually completed.  
338 If the concrete placement is interrupted (Figure 10), after a certain time, the pressure difference between the  
339 concrete inside and outside the pipe is no longer enough to allow its rise in the borehole. This can be the cause of  
340 serious imperfections [34]. A prolonged concrete interruption induces the instability of the bentonite suspension,  
341 and a filter cake forms on the surface between the fresh concrete and the bentonite [7].

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## 347 17 c) Interactions

348 Regarding the following section, there is not an abundance of available information. However, when looking at  
349 the issue with a smaller scale, several interactions that were observed in the past, are discussed in this article. It  
350 includes interactions between each two components throughout all the construction stages.

351 i

## 352 18 . Soil and Slurry

353 To better understand the role of bentonite slurry in the diaphragm wall technique, it is important to recognize  
354 the interactions between the stabilizing fluid and the surrounding soil.

355 According to Besq et al [36], it is necessary to take into account the nature of the excavated soils before choosing  
356 the properties of the support fluid. A bentonite suspension having enough shear strength may be required to  
357 reduce penetration into the permeable soil [21]. Surface filtration into the ground is much to be preferred than  
358 deep filtration in order to form a cake as quickly as possible at the interface and avoid loss of slurry into the soil  
359 [16].

360 A first interaction can be represented by the filter cake formation. Based on the results of [37], a filter cake will  
361 form depending on soil particles gradations in the case of fine sand and suspended silt. With regards to the soil  
362 permeability and the density of the slurry, this filter cake can be either too thick or too thin. The consequences  
363 were previously discussed in this paper and thus have consecutively an impact on the good quality of the panels.  
364 In cohesive soils with high clay content, the slurry is charged with suspended fines and therefore becomes heavier  
365 and slows down the drilling tool in the trench. Heavier slurry has also an impact on the filter cake as it increases  
366 its thickness and hence reduces the contact pressure of the clamshell grabs [19]. Based on other research works  
367 concerning the stability of deep diaphragm walls constructed in a sandy soil, when the bentonite suspension is  
368 maintained at a level higher than the level of the water table, the pressure difference tends to force the bentonite  
369 into the adjacent soil. Thus, the filter cake formation consists not only of bentonite, but also of silt and silty  
370 sand [20]. For that reason, higher densities of the support fluid were measured in the cover zone, explaining  
371 difficulties of the concrete flow, especially when it can no longer effectively push the bentonite upwards between  
372 the reinforcing cage and the soil interface.

373 Bentonite slurry could possibly be diluted by the groundwater and contaminated by fine soil particles dispersed  
374 in the fluid. As a result, its rheological properties can then be modified. Several imperfections discussed earlier  
375 in this paper, were observed. It should be noted, that the contact time for the slurry in the excavation is also  
376 very crucial in the occurrence of the anomalies. According to [38], the bentonite suspensions exposure shall not  
377 exceed 36 hours for some excavations.

378 Chemical reactions between the support fluid and ground water or ground particles can also take place in the  
379 excavation [19]. Chemical species can be either ionic compounds from calcium and magnesium or in the form of  
380 a mineral like gypsum. For the first specie, effects like breaking molecular bonds can be a problem. Gypsum, on  
381 the other hand, has a direct effect on the slurry which becomes too fluid and the filter cake penetrable.

## 382 19 ii. Slurry and Rebars

383 To evaluate the influence of the support fluid when in contact with the steel rebars, some studies have been  
384 carried out to verify the adhesion of the bars, previously immersed in bentonite slurry, to the hardened concrete.  
385 While the bond between the concrete and vertical bars is seen slightly reduced, greater reduction in the adherence  
386 of horizontal steel was observed. The probable explanation is that the rise of the concrete along the longitudinal  
387 reinforcement cleans them perfectly, while, in the case of the horizontal bars, only the lateral parts are well  
388 scraped and a film of slurry remains trapped along the lower and upper parts [34]. Several materials previously

389 settled in the bentonite suspension may become loosely attached to the rebar as the fluid rises [11]. If not well  
390 treated, the slurry could remain contaminated and may be too resistant to flow so when moving upwards do not  
391 allow good cleaning of the steel bars [17]. Another study [38] that applied pullout testing confirmed that the  
392 bond strength between the concrete and the rebars was reduced (up to 70%), due to buildup of the slurry on the  
393 bars. The residual slurry noticed on the reinforcement increased when the apparent viscosity increased.

394 iii. Slurry and Concrete Mattressing phenomena can be linked to interactions at the interface of the supposedly  
395 two immiscible fluids. A study [1] suggested that poor concrete quality incites for the two fluids to be mixed. In  
396 fact, if the concrete cannot easily pass through the reinforcing cage, the bonds that binds the matrix will be broken,  
397 creating a thin channel of de-bonded concrete. Hence, a fluid like the slurry, which already flows in the excavated  
398 trench, penetrates the thin channel, between the de-bonded concrete. This phenomenon creates a permanent  
399 separation layer preventing the concrete from re-bonding and consequently giving rise to the mattressing defects.

400 In the literature [39], several cases are described in which the concreting process has resulted in inclusions of  
401 bentonite. If pouring resumes after an interruption, previously poured concrete, which has stiffened due to its  
402 immobilization encounters difficulties in regaining the flow through the cage whereas the fresh concrete comes  
403 out of its initial flow, and forms a new layer, thus trapping the slurry mixed with the sandy soil.

404 Figure 11 shows severe honeycombs features due to reestablishing concrete flow. When fresh concrete arrives,  
405 the upper face of the old stiffer concrete in contact with the settled materials of the slurry is pushed outward  
406 and through the reinforcing cage [11]. In contact with concrete, thixotropic slurry has its rheological properties  
407 modified [40]. In addition to its thickening, a high pH values were measured, as well as an increase of the  
408 viscosity. Chemical reactions also occur between the support fluid and the concrete and more specifically the  
409 cement particles. The filter cake under the effect of Ca 2+ ions from the cement changes and hardens, thereby  
410 inducing a difficulty for the concrete to completely remove it from the walls of the trench. A study concerning  
411 the physico-chemical interaction between cement and bentonite [41], confirms ionic exchanges between the two  
412 solutions. However, the experimental work carried out, was not enough to cover all the phenomena regarding  
413 the evolution of the hydraulic performances of bentonite in contact with cementitious solutions.

## 414 20 iv. Concrete and Soil

415 Interactions between the freshly poured concrete with the surrounding soil occur before the hardening of the  
416 concrete. The cementitious materials are often poured to great depths (20 to 50 m), therefore, the fresh concrete  
417 located at the bottom of the structure is subjected to important pressures generated by the surrounding soil.  
418 Under the effect of these pressures combined to gravity forces, instabilities like bleeding, may appear [31]. Thus,  
419 the now drained concrete loses its fluidity. Larisch [1] defined the mattressing pathology as inclusions of soil in  
420 the cover zone when insufficient concrete flow arises. The displacement of the internal face of the trench wall  
421 mentioned previously, depends on several aspects like the soil profile, the depth of the panel or the presence of  
422 water table. Nonetheless, if a denser fluid like the concrete now provides the lateral pressures formerly engendered  
423 by the support fluid, these displacements might be moderated. However, with reduced workability performance,  
424 the concrete cannot rise properly specially in the cover zone and will not provide enough lateral pressures at the  
425 wall interface.

## 426 21 IV. Pathology Overview (Recommendations)

427 In addition to codes and standards of practice, some research studies, which have investigated mattressing issues,  
428 have proposed the following recent recommendations regarding composition properties and placement procedures.  
429 With regards to support fluid properties:

430 When the European Standards (NF EN 1538) allows a 4% sand content for the bentonite slurry before  
431 concreting, a study [14] showed that it should not exceed 1%. About almost the same amount of sand that can  
432 be suspended in the slurry falls to the bottom of the excavation within the first two hours. For the concrete  
433 composition:

434 -Mullins and Ashmawy [14] has defined a CSD factor, clear spacing to maximum aggregate diameter, in order  
435 to estimate head differential -To limit segregation when the first concrete is being discharged, it is proposed to  
436 pre-charge the tremie with either neat cement or mortar mix before beginning the concreting [14].

## 437 22 V. Discussion

438 The present status of the shadowing pathologies, necessitates further research and more detailed studies. Indeed,  
439 despite carrying out the recommendations, disorders continue to appear.

440 To specifically investigate the origins of shadowing pathologies, it is important to study all the parameters  
441 that are involved in the construction of diaphragm walls.

442 Although bentonite-based support fluid is used to stabilize open trenches, it cannot be considered as a stable  
443 material itself as its properties may vary depending on some factors like the nature of the soil encountered. It  
444 could be interesting for instance, to study the relation between the nature of the excavated soil and the properties  
445 of the support fluid and investigate the interactions at the interface. The purpose of the experiment is to properly  
446 conclude on the formation of the filter cake.



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447 Since chemical reactions of cement particles can also alter rheological properties of the support fluid. It is  
448 therefore proposed to examine what the bentonite slurry can undergo, when in contact with different solutions,  
449 like the concrete, soil particles or even water in the ground. This kind of experiment will allow to correctly  
450 understand the state of the support fluid at each construction stage so it would be easier to predict concrete flow  
451 in the stabilizing fluid.

452 As for concrete flow, it should be considered to test different concreting speeds and different concrete age as  
453 it has been shown that it directly affects good spreading between the reinforcing cages in the cover zone.

454 Shadowing pathologies is a phenomenon that affects structural, aesthetic and durability issues of diaphragm  
455 walls. For that matter, it shall be investigated analytically using available data and field monitoring results and  
456 through experimental work by testing the parameters previously discussed, and finally using equations and finite  
457 element models to better understand the occurrence of the phenomena involved.

## 458 23 VI. Summary and Conclusion

459 "Shadowing pathologies" is a serious problem since the defects are related to the durability of the structure. The  
460 rebars can directly be exposed to the open air, facilitating corrosion events of the reinforcements, and harming  
461 thereby the entire structure. If the visible imperfections can be repaired, some other hidden defects cannot be  
462 detected. Therefore, preventing matressing defects, becomes of great interest.

463 This article consists of a general overview describing the present status regarding "shadowing pathologies".  
464 The factors affecting the occurrence of the disorders that can be found in the literature, may be divided into  
465 three main families. The general concept behind every family is described. So far, the imperfections can be either  
466 caused by components that do not meet appropriate properties or if activities for the placement techniques do  
467 not fall in the ranges of acceptance of the recommendations. These malfunctions combined to poor workmanship  
468 or interaction effects emphasize the imperfections to become defects. The identification of a few events may help  
469 to converge towards failures and malfunctions generating the appearance of the disorders in the diaphragm walls.  
All authors declare that they have no conflicts of interest. <sup>1</sup>

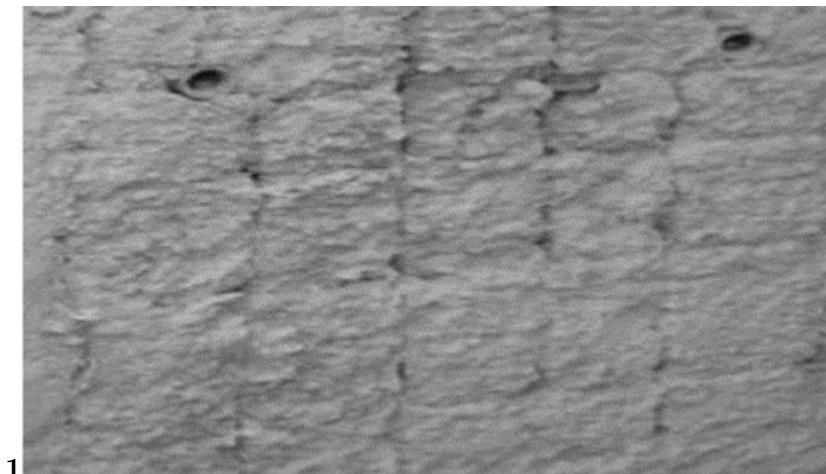


Figure 1: Figure 1 :

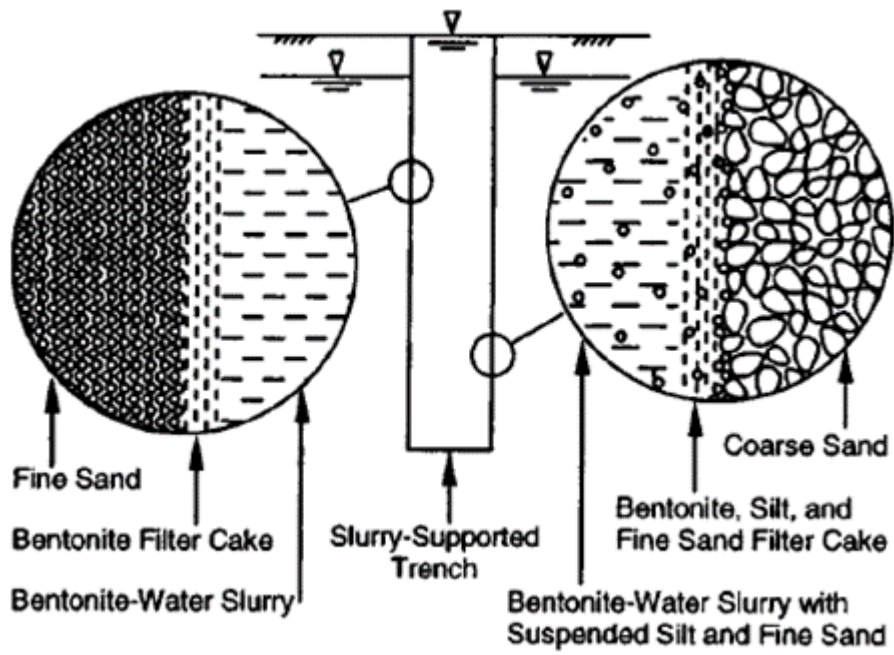
470



Figure 2: Figure 2 :

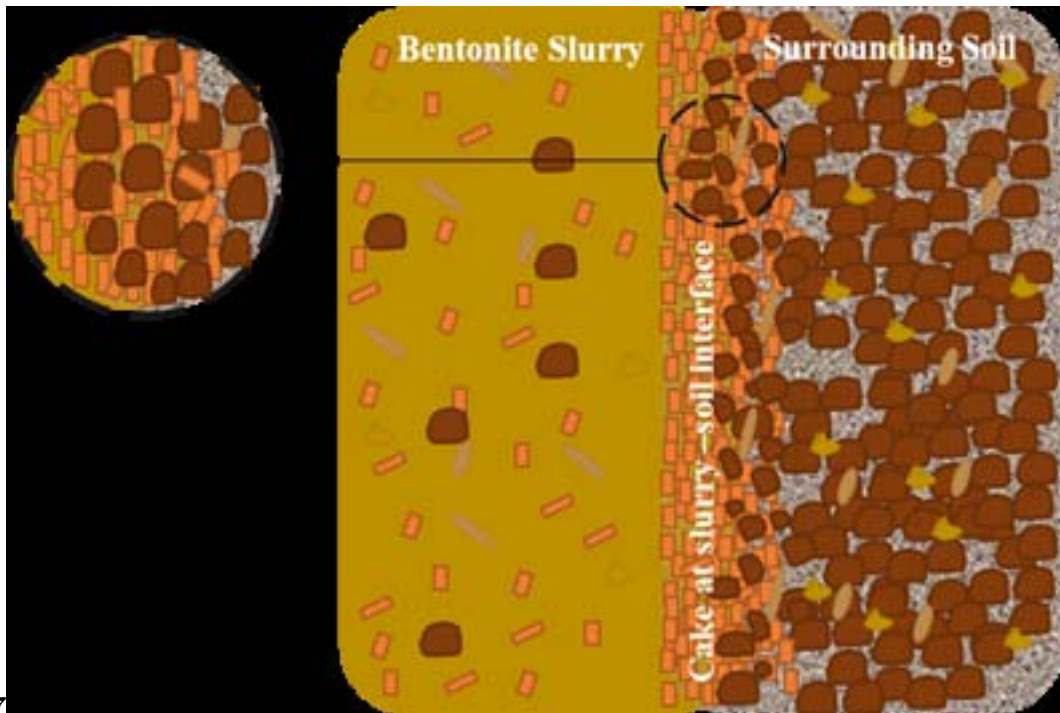


Figure 3: Figure 3 :



45

Figure 4: Figure 4 :Figure 5 :



7

Figure 5: Figure 7 :

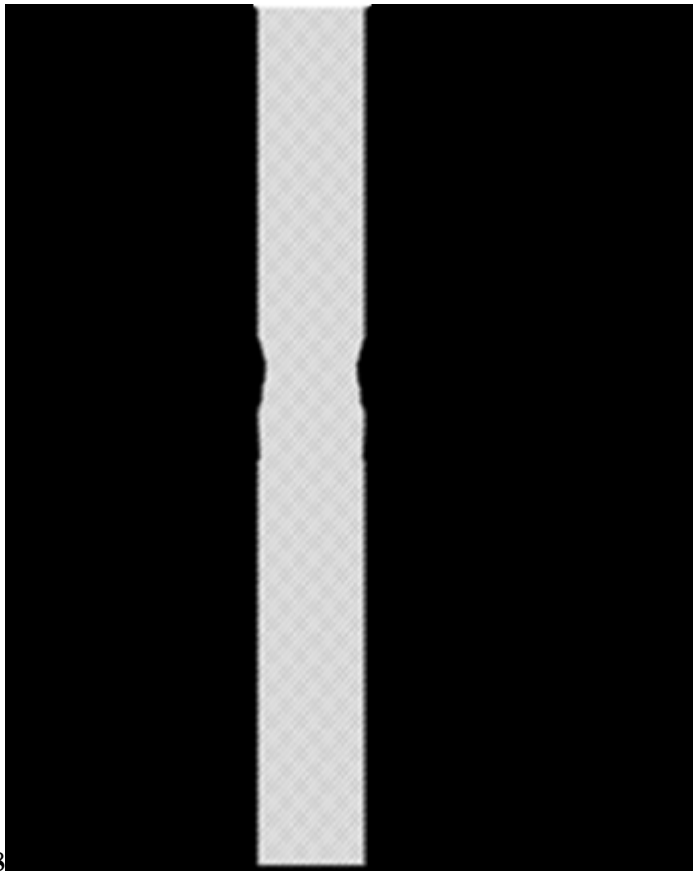


Figure 6: Figure 8 :

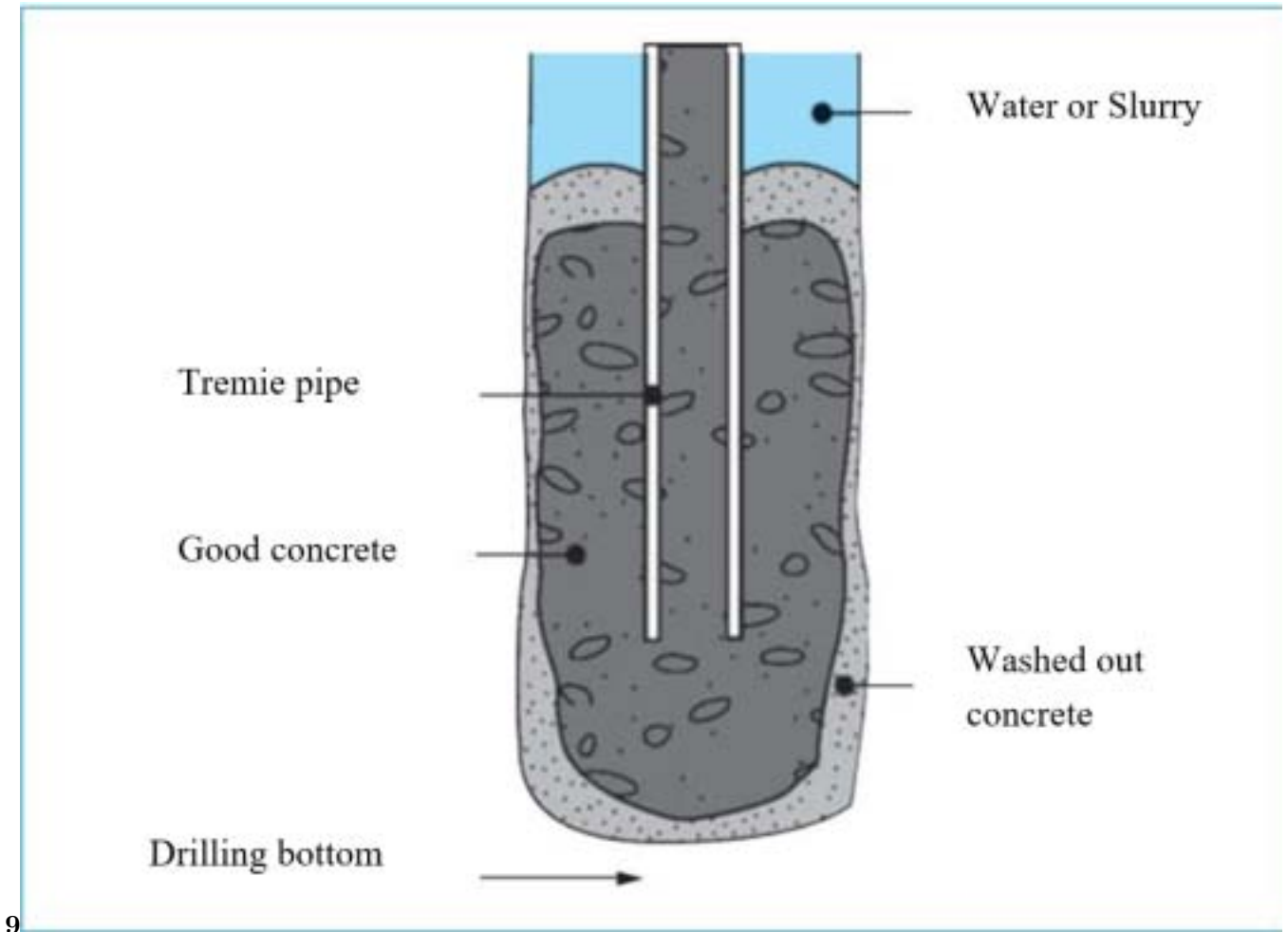


Figure 7: Figure 9 :



Figure 8: Figure 10 :





Figure 9: Shadowing



11

Figure 10: Figure 11 :

	Fresh	Re-use	Before Concret- ing
Density in g/cm <sup>3</sup>	< 1.10	< 1.25	< 1.15
Marsh Value in seconds	32 to 50	32 to 60	32 to 50
Fluid Loss in cm <sup>3</sup>	< 30	< 50	N/A
pH	7 to 11	7 to 12	N/A
Sand Content in % volume	N/A	N/A	< 4
Filter Cake in mm	< 3	< 6	N/A

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Figure 11: Property Values for different stages Shadowing Pathologies of Diaphragm Wall Concretes: General Overview Global

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measurements of the concrete inside and outside the reinforcing cage. The CSD must be greater than 5.

-The same study [14] also proposed not less than 101.6 mm for concrete slump loss. However, for full scale field applications, a value between 114.3 to 127 mm is more appropriate since with the use of admixtures slumps between 177.8 to 228.6 mm can be reached. For other studies [5], concrete mixes with slump values of 260 mm showed excellent performances towards segregation stabilities.

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Figure 12: Shadowing Pathologies of Diaphragm Wall Concretes: General Overview Global





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