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A Unique Method for Detecting and Characterizing Low
Probability of Intercept Frequency Hopping Radar Signals by
means of the Wigner-Ville Distribution and the Reassigned
Smoothed Pseudo Wigner-Ville Distribution
5 Daniel L. Stevens
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8 Abstract

Low probability of intercept radar signals, which are may times difficult to detect and 9 characterize, have as their goal ?to see but not be seen?. Digital intercept receivers are 10 currently moving away from Fourier-based techniques and toward classical time-frequency 11 techniques for analyzing low probability of intercept radar signals. This paper brings forth the 12 unique approach of both detecting and characterizing low probability of intercept frequency 13 hopping radar signals by employing and comparing the Wigner-Ville Distribution and the 14 Reassigned Smoothed Pseudo Wigner-Ville Distribution. Four-component frequency hopping 15 low probability of intercept radar signals were analyzed. The following metrics were used for 16 evaluation: percent error of: carrier frequency, modulation bandwidth, modulation period, 17 and time-frequency localization. Also used were: percent detection, lowest signal-to-noise ratio 18 for signal detection, and relative processing time. Experimental results demonstrate that 19 overall, the Reassigned Smoothed Pseudo Wigner-Ville Distribution produced more accurate 20 characterization metrics than the Wigner-Ville Distribution. An improvement in performance 21 could potentially translate into saved equipment and lives. 22

23

24 Index terms—

²⁵ 1 I. Introduction

probability of intercept radar signals, which are may times difficult to detect and characterize, have as their goal 26 27 'to see but not be seen'. Digital intercept receivers are currently moving away from Fourier-based techniques and toward classical time-frequency techniques for analyzing low probability of intercept radar signals. This paper 28 brings forth the unique approach of both detecting and characterizing low probability of intercept frequency 29 hopping radar signals by employing and comparing the Wigner-Ville Distribution and the Reassigned Smoothed 30 Pseudo Wigner-Ville Distribution. Fourcomponent frequency hopping low probability of intercept radar signals 31 were analyzed. The following metrics were used for evaluation: percent error of: carrier frequency, modulation 32 bandwidth, modulation period, and time-frequency localization. Also used were: percent detection, lowest 33 34 signal to-noise ratio for signal detection, and relative processing time. Experimental results demonstrate that 35 overall, the Reassigned Smoothed Pseudo Wigner-Ville Distribution produced more accurate characterization 36 metrics than the Wigner-Ville Distribution. An improvement in performance could potentially translate into saved equipment and lives. low probability of intercept (LPI) radar that uses frequency hopping techniques changes 37 the transmitting frequency in time over a wide bandwidth to prevent an intercept receiver from intercepting the 38 waveform. The frequency slots are chosen from a frequency hopping sequence, which is unknown to the intercept 39 receiver, thereby giving the radar the advantage in processing gain over the intercept receiver. The frequency 40 sequence appears random to the intercept receiver, thereby making it nearly impossible for the intercept receiver 41 to follow the changes in frequency [PAC09]. This, in turn, prevents a jammer from jamming the transmitted 42

43 frequency [ADA04]. Frequency hopping radar performance depends only slightly on the code used, given that

44 certain properties are met. This allows for a larger assortment of codes, making it even more difficult to intercept.

45 Time-frequency signal analysis includes the analysis and processing of signals

$_{46}$ 2 a) Wigner-Ville Distribution (WVD)

One of the most prominent time-frequency distribution members is the WVD. The WVD satisfies a great number 47 of desirable mathematical properties. It is always real-valued, it preserves time and frequency shifts, and it satisfies 48 marginal properties [AUG96], [QIA02]. The WVD is a transformation of a continuous time signal into the time-49 frequency domain, and is computed by correlating the signal with a time and frequency translated version of 50 itself, making the WVD bilinear. In addition, the WVD exhibits the highest signal energy concentration in the 51 time-frequency plane ??WIL06]. By using the WVD, an intercept receiver can come close to having a processing 52 gain near the LPI radar's matched filter processing gain [PAC09]. The WVD also contains cross term interference 53 between every pair of signal components, which may limit its applications [GUL07], [STE96], and which can make 54 the WVD time-frequency representation hard to interpret, especially if the components are numerous or close 55 56 to each other, and the more so in the presence of noise [BOA03]. This lack of readability can in turn translate into decreased signal detection and parameter extraction metrics, potentially placing the intercept receiver signal 57 analyst in harm's way. 58 The WVD of a signal ??(??) is given in equation (1) as:?? ?? (??, δ ??" δ ??") = ? ??(?? + ?? 2 +? ??)?? * 59 ???? ? ??? 2 ? ??? ???? 2??ð ??"ð ??"?? ???? 60 or equivalently in equation (2) as:?? ?? (??, δ ??" δ ??") = ? ??(δ ??" δ ??" + ?? 2 +? ??)?? * ? δ ??" δ ??"?? 61 ?? 2 ? ?? ?? ???????? b) Reassigned Smooth Pseudo Wigner-Ville Distribution (RSPWVD) 62 The original idea of reassignment was introduced in an attempt to improve the Spectrogram [OZD03]. As 63 with any other bilinear energy distribution, the Spectrogram is faced with the trade-off between the reducing the 64 misleading interference terms and sharpening the localization of the signal components. 65 66 We can define the Spectrogram as a twodimensional convolution of the WVD of the signal by the WVD of the analysis window, as in equation (3):?? ?? (??, ð ??"ð ??"; ?) = ? ?? ?? +? ?? (??, ??)?? ? (?? ? ??, ð ??"ð ??" 67 ? ??)???? ???? 68 Therefore, the distribution reduces the interference terms of the signal's WVD, but at the expense of time 69 70 delimits a time-frequency domain at the vicinity of the (??, ð ??"ð ??") point, inside which a weighted average 71 of the signal's WVD values is performed. The key point of the reassignment principle is that these values really 72 have no reason to be symmetrically distributed around (??, ð??"ð??"), the geometrical center of this domain. 73 Their average should not be assigned at this point, but rather at the center of gravity of this domain, which is 74 75 more representative of the local energy distribution of the signal [AUG94]. Using a mechanical analogy, the local 76 energy distribution ?? ? (?? ? ??, ð ??"ð ??"? ??)?? ?? (??, ??) (as a function of ?? ?????????) can be 77 considered as a mass distribution, and it is much more accurate to assign the total mass (i.e. the Spectrogram

value) to the center of gravity of the domain rather than to its geometrical center. Another way to look at it is 78 79 this: the total mass of an object is assigned to its geometrical center, an arbitrary point which, except in the very specific case of a homogeneous distribution, has no reason to suit the actual distribution. A more meaningful 80 choice is to assign the total mass of an object, as well as the Spectrogram value, to the center of gravity of their 81 respective distribution [BOA03]. This is exactly how the reassignment method proceeds: it moves each value of 82 the Spectrogram computed at any point $(??, \partial ??"\partial ??")$ to another point $(??, \partial ??"\partial ??")$ which is the center 83 of gravity of the signal energy distribution around (??, ð ??"ð ??") (see equations (??) and (??)) [LIX08]:?? (??; 84 ??, ð ??"ð ??") = ? ?? ?? ? ? (?? ? ??, ð ??"ð ??" ? ??)?? ?? (??, ??)???? ???? +? ?? ? ?? ? (?? ? ??, ð ??"ð ??" 85 86 (??, ??)???? ???? +? ?? ? ?? ? (?? ? ??, ð ??"ð ??" ? ??)?? ?? (??, ??)???? ???? +? ?? 87

An interesting property of this new distribution is that it also uses the phase information of the STFT, and 92 not just its squared modulus, as in the Spectrogram. It uses this information from the phase spectrum in order 93 to sharpen the amplitude estimates in both time and frequency. This can be seen from the following expressions 94 of the reassignment operators: (??). This leads to an efficient implementation for the Reassigned Spectrogram 95 96 without explicitly computing the partial derivatives of phase. The Reassigned Spectrogram may thus be computed 97 by using 3 STFTs, each having a different window (the window function h; the same window with a weighted time 98 ramp $t^{*}h$; and, the derivative of the window function h with respect to time (dh/dt)). Reassigned Spectrograms are therefore very computationally efficient to implement?? (??; ??, ð ??"ð ??") = ? ??? ?? (99

Since time-frequency reassignment is not a bilinear operation, it does not permit a stable reconstruction of the signal. In addition, once the phase information has been used to reassign the amplitude coefficients, it is no longer available for use in reconstruction.

For this reason, the reassignment method has received limited attention from engineers, and its greatest potential seems to be where reconstruction is not necessary, that is, where signal analysis is an end unto itself. One of the most important properties of the reassignment method is that the application of the reassignment process to any distribution of Cohen's class, theoretically yields perfectly localized distributions for chirp signals, frequency tones, and impulses. This is one of the reasons that the reassignment method was chosen for this paper as a signal processing technique for analyzing LPI radar waveforms such as the frequency hopping waveforms (which can be viewed as multiple tones).

¹¹⁰ In order to resolve the classical time-frequency analysis deficiency of cross-term interference, a method needs ¹¹¹ to be used which reduces cross-terms, which the reassignment method does.

The reassignment principle for the Spectrogram allows for a straight-forward extension of its use for other distributions as well [HIP00], including the WVD. If we consider the general expression of a distribution of the Cohen's class as a two-dimensional convolution of the WVD, as in equation (??1

¹¹⁵ 3 II. Methodology

The methodologies detailed in this section describe the processes involved in obtaining and comparing metrics between the classical time-frequency analysis techniques of the Wigner-Ville Distribution and the Reassigned Smoothed Pseudo Wigner-Ville Distribution for the detection and characterization of low probability of intercept frequency hopping radar signals.

The tools used for this testing were: MATLAB (version 8.3), Signal Processing Toolbox (version 6.21), and Time-Frequency Toolbox (version 1.0). All testing was accomplished on a desktop computer.

Testing was performed for the 4-component frequency hopping waveform. Waveform parameters were chosen 122 for academic validation of signal processing techniques. Due to computer processing resources they were not 123 meant to represent real-world values. The number of samples for each test was chosen to be 512, which seemed to 124 be the optimum size for the desktop computer. Testing was performed at three different SNR levels: 10dB, 0dB, 125 and the lowest SNR at which the signal could be detected. The noise added was white Gaussian noise, which 126 127 best reflects the thermal noise present in the IF section of an intercept receiver [PAC09]. Kaiser windowing was used, when windowing was applicable. 100 runs were performed for each test, for statistical purposes. The plots 128 included in this paper were done at a threshold of The frequency hopping (prevalent in the LPI arena [AMS09]) 4-129 component signal had parameters of: sampling frequency=5KHz; carrier frequencies=1KHz, 1.75KHz, 0.75KHz, 130 1.25KHz; modulation bandwidth=1KHz; modulation period=.025sec. 131

132 After each particular run of each test, metrics were extracted from the time-frequency representation.

133 The different metrics extracted were as follows:

1) Relative Processing Time: The relative processing time for each time-frequency representation.

2) Percent Detection: Percent of time signal was detected. Signal was declared a detection if any portion of each of the 4 signal components exceeded a set threshold (a certain percentage of the maximum intensity of the time-frequency representation). Threshold percentages were determined based on visual detections of low SNR signals (lowest SNR at which the signal could be visually detected in the timefrequency representation). Based on the above methodology, thresholds were assigned as follows for the signal processing techniques used for this paper: WVD (50%); RSPWVD (50%).

For percent detection determination, these threshold values were included in the time-frequency plot algorithms 141 so that the thresholds could be applied automatically during the plotting process. From the threshold plot, the 142 signal was declared a detection if any portion of each of the signal components was visible (see Figure 1). The 143 threshold percentage was determined based on manual measurement of the modulation bandwidth of the signal 144 in the time-frequency representation. This was accomplished for ten test runs of each time-frequency analysis 145 tool (WVD and RSPWVD). During each manual measurement, the max intensity of the high and low measuring 146 points was recorded. The average of the max intensity values for these test runs was 20%. This was adopted as 147 the threshold value, and is representative of what is obtained when performing manual measurements. This 20% 148 threshold was also adapted for determining the modulation period and the time-frequency localization (both are 149 described below). 150

For modulation bandwidth determination, the 20% threshold value was included in the time-frequency plot algorithms so that the threshold could be applied automatically during the plotting process. From the threshold plot, the modulation bandwidth was manually measured (see Figure 3). For lowest detectable SNR determination, these threshold values (WVD (50%); RSPWVD (50%)) were included in the time-frequency plot algorithms so that the thresholds could be applied automatically during the plotting process. From the threshold plot, the signal was declared a detection if any portion of each of the 4 signal components was visible. The lowest SNR level for which the signal was declared a detection is the lowest detectable SNR.

The data from all 100 runs for each test was used to produce the actual, error, and percent error for each of these metrics listed above.

160 The metrics from the WVD were then compared to the metrics from the RSPWVD. By and large, the 161 RSPWVD outperformed the WVD, as will be shown in the results section.

¹⁶² 4 III. Results

Table 1 presents the overall test metrics for the two classical time-frequency analysis techniques used in this testing (WVD versus RSPWVD). 1, the RSPWVD outperformed the WVD in average percent error: carrier frequency (0.12% vs. 0.21%), modulation bandwidth (4.72% vs. 6.07%), modulation period (6.05% vs. 16.51%), and timefrequency localization (y-direction) (1.28% vs. 2.14%); and in average: percent detection (94.1% vs. 90.2%), lowest detectable SNR (-3.0dB vs. -2.0dB) and average relative processing time (0.023s vs. 0.682s).

Figure 6 shows comparative plots of the WVD vs. the RSPWVD (4-component frequency hopping) at SNRs of 10dB (top), 0dB (middle), and lowest detectable SNR (-2.0dB for WVD and -3.0dB for RSPWVD) (bottom).

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¹⁷¹ 6 IV. Discussion

172 This section will elaborate on the results from the previous section.

From Table 1, the RSPWVD outperformed the WVD in average percent error: carrier frequency (0.12% vs. 0.21%), modulation bandwidth (4.72% vs. 6.07%), modulation period (6.05% vs. 16.51%), and timefrequency localization (y-direction) (1.28% vs. 2.14%); and in average: percent detection (94.1% vs. 90.2%), lowest detectable SNR (-3.0dB vs. -2.0dB) and average relative processing time (0.023s vs. 0.682s). These results are the result of the RSPWVD signal being a more localized signal than the WVD signal, along with the fact that the WVD signal has cross-term interference, which the RSPWVD doesn't have.

The RSPWVD might be used in a scenario where you need good signal localization in a fairly low SNR 179 environment, in a short amount of time. The RSPWVD would be preferred over the WVD in virtually every 180 scenario, based on the metrics obtained. Digital intercept receivers, whose main job is to detect and extract 181 parameters from low probability of intercept radar signals, are currently moving away from Fourier-based analysis 182 and moving towards classical time-frequency analysis techniques, such as the WVD and the RSPWVD, for the 183 purpose of analyzing low probability of intercept radar signals. Based on the research performed for this paper 184 (the novel direct comparison of the WVD versus the RSPWVD for the signal analysis of low probability of 185 intercept frequency hopping radar signals) it was shown that the RSPWVD by and large outperformed the WVD 186 for analyzing these low probability of intercept radar signals -for reasons brought out in the discussion section 187 above. More accurate characterization metrics may well equate to saved equipment and lives. 188

¹⁸⁹ Future plans include analysis of an additional low probability of intercept radar waveform 8-component frequency Hopper, again using the WVD and the RSPWVD as time-frequency analysis techniques.



Figure 1:

190 191

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Figure 2:



Figure 3:



Figure 4: Figure 1 :



Figure 5: Figure 2 :



Figure 6: Figure 3 :FA



Figure 7: Figure 4 :

6



Figure 8: Figure 5 :



Figure 9: Figure 6 :



Figure 10:

1

carrier frequency, modulation bandwidth, modulation period; average: time-frequency localization-y (as percent of y-axis), percent detection, lowest detectable snr, relative processing time) for the two classical timefrequency analysis techniques (WVD versus RSPWVD) WVD RSPWVD Parameters **Carrier Frequency** 0.21%0.12%Modulation Bandwidth 6.07%4.72%Modulation Period 16.51%6.05%Time-Frequency Localization-Y 2.14%1.28%Percent Detection 90.2%94.1%Lowest Detectable SNR -2.0dB -3.0dB Relative Processing Time 0.682s0.023sFrom Table

Figure 11: Table 1 :

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Figure 12: F

[Papandreou], A Papandreou. Boudreaux-Bartels. 192

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