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¹ Electromagnetic Antenna Cloaking with Metamaterial Structures

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5 Abstract

⁶ Electromagnetic (EM) cloaks, based on spatial transformations, can potentially be utilized as

 $_{7}\;$ shielding devices for antennas in close proximity with multiple antenna arrangements as well

⁸ as highly scattering environments. In these environments each antenna can be enclosed in a

• cloak that is designed to be shield at the transmitting frequencies of the neighboring antennas,

¹⁰ but assumes free space values for the shielded antenna so it can radiate unimpeded. Perfect

11 EM cloaking is, however, difficult owing to the anisotropic, inhomogeneous material

¹² parameters of the cloak. The physical embodiment of such structures, as well as numerical

calculations, is exceedingly difficult, if not impossible, for realistic 3-D structures. To

¹⁴ overcome these immense issues, this research utilizes dispersive media (Drude or Lorentz

¹⁵ models) that can minimize some of the problems (anisotropic, inhomogeneous material

¹⁶ parameters) associated with ?true? cloaks, but can yield similar cloaking properties. The

¹⁷ resulting cloak is frequency dependent which cloaks at a specific frequency and is transparent

¹⁸ at other frequencies. The resulting medium is isotropic and homogeneous.

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20 Index terms—

²¹ 1 Introduction

he operation of high power antennas in complicated environments, such as the presence of large mounting structures on ships or aircraft, where multiple antennas may exist in close proximity has been a serious issue for a long time. Near field EM coupling between antennas can severely distort radiation patterns as well as affect antenna electrical input parameters. Likewise EM scattering from near-by metal structures can also induce unwanted currents on the antenna elements which can produce adverse effects. Many attempts over the last several years have been utilized to reduce coupling and scattering effects, but, to date, there has been no "silver bullet" to completely eliminate the problem.

With the relatively recent advent of theoretical developments in EM cloaking, 1,2 a new approach is potentially 29 available to solve antenna coupling issues in complex scattering environments. The approach, however, is not 30 without difficult issues, especially in the physical implementation of such devices. One major problem is the 31 complex media associated with cloaks is based on spatial transformations. The resulting media of the cloak is 32 generally inhomogeneous and anisotropic with properties that do not exist normally in nature. To overcome the 33 problem, metamaterial technology has been utilized in the GHz range. 3 While the resulting structure works 34 in a laboratory setting, the transition to a more commercial venue poses problems because of the cumbersome 35 physical features of the cloaking structure. 36

37 The problem that is addressed by this study is illustrated in Fig. 1 involving two antennas and two cloaks. 38 4 In Fig. 1 (a.) antenna A 1, operating at frequency f 1, transmits and receives through cloak C1, A near-39 by antenna A 2 which is cloaked by C 2 and is designed to cloak EM fields at frequency f1. The roles are reversed in Fig. 1(b.). In general 3-D cloaks with inhomogeneous and anisotropic media cannot be modeled 40 with commercially available computer codes (e.g. CST, COMSOL) owing to the complexity of the problem. For 41 example, COMSOL can only handle 2-D objects with anisotropic media. Also, the physical embodiment of such 42 structures is exceedingly difficult, if not impossible, for realistic 3-D cloak-based structures. To overcome these 43 immense issues, the cloaks developed in this study are not based on spatial transformations. Rather they are 44 based on the dispersive properties of media, which will be shown to have similar cloaking properties as "true" 45

where is the relative dielectric constant; is the resonance frequency; is the damping frequency; is ?r ?0 ? ?s (1) value as the frequency becomes very large. To illustrate Eq. 1, numerical calculations presented below contain the following parameter values: $= 34.54 \times 10$ 9 rad/s or = 5.5 GHz; $= 0.628 \times 10$ 9 rad/sec or 0.1 GHz; = 1; and = 2. Fig. ?? shows the real and imaginary parts of the dispersive relative dielectric constant, ?r, for the listed parameter values.

There are some important features of these curves that should be emphasized. First, note that at the resonance

frequency the real part of equals zero. The height and width of the imaginary component are controlled by the damping frequency. Above resonance, as the frequency becomes larger, the dielectric constant assumes the free

57 space value. Below resonance approaches 2. Note also that at the loss tangent of the material is infinity.

⁵⁸ 2 Fig. 2: Real and imaginary curves for

59 The complex wave number for the dispersive media can be expressed as with = 1

where and are the real and imaginary components of , respectively, and k0 is the free space propagation 60 constant. At the resonance frequency, = 0 and k becomes imaginary so the wave in the media becomes strongly 61 62 evanescent and virtually no EM fields exist in the material. In other words, the material acts almost like a 63 perfect electric conductor (PEC). Thus, the two important features of this material are: (1) at the resonant frequency it acts almost like a perfect shield and (2) above and below the resonant frequency the material is 64 almost transparent. If utilized in a manner depicted in Fig. 1, its properties can mimic a "true" cloak; hence the 65 designation pseudo cloak. It should also be pointed out that the Lorentz model can be used for permeability. In 66 Eq. (??) just replace with . Now at resonance the material acts like a perfect magnetic conductor (PMC). 67

To compare the properties of this cloak with those of a "true" cloak consider the following. An ideal cloak can only work for monochromatic (single frequency) EM waves. Generally, for an ideal cylindrical or spherical cloak, the radial constitutive parameters and are required to vanish (go to zero) at the inner boundary at the single frequency. 2 The singularity of the wave equation inside the cloak will form impenetrable PEC, PMC walls for EM waves. This is very similar to the condition of the pseudo cloak when or = 0 at the resonance frequency

73 forming a PEC or PMC like boundary. The difference, of course, is that for the pseudo cloak the entire layer acts 74 like a shield whereas for an ideal cloak the barrier occurs at the inner boundary. This difference is essentially

75 immaterial for cloaking antennas.

Finally, some difficulties of both cloaks should be pointed out. For non-monochromatic waves (all antennas 76 have bandwidth) the shielding efficacy rapidly deteriorates away from the central frequency. The bandwidth 77 78 limitations for an ideal cloak have been elaborated elsewhere. [5][6][7] It suffices to say that the main reason is 79 based on group velocity delay. This delay is extenuated as the size of the shield gets larger, so that most ideal 80 cloaks have to be small. For the pseudo cloak the reason is easy to see from Fig. ?? (a.). At resonance, when = 0, the slope of the response is very steep, resulting in a fairly narrow range of frequencies around the resonant 81 82 frequency where values are close to zero. The saving grace is that most continuous wave communication antennas have very narrow bandwidths. However, some high peak power pulsed antennas may have wide bandwidths. Size 83 limitations do not appear to be a problem for pseudo cloaks, except for the fact that large structures could 84 influence the radiation patterns of nearby antennas. 85

Metamaterials (MMs) can produce EM phenomena that are not available in natural materials. Before 86 construction of a prototype MM structure, numerical simulation can be used to design and analyze the MM 87 88 structure as well as show that its properties are comparable to bulk properties of materials that are defined by 89 and . For this study a split ring resonator (SRR) and a flat wire MM unit cell, as shown in Fig. 3, will be utilized. The split rings are comprised of a copper embedded on the top of a substrate and the flat copper strip id located on 90 the back side of the substrate as shown in Fig. 3. The MM-based structure would consist of many of these small 91 unit cells. For a wave incident on SRR MM structure the Sparameters (S 11 and S 21) for the structure are first 92 calculated. The S parameters are related to the reflected, transmitted, and absorbed waves by the MM structure. 93 The bulk properties (and) of the MM can then be calculated using either the Lorentz or Drude dispersive 94 models. CST Studio can accomplish this by best fitting the parameters (and) associated with the Lorentz 95 model (see Eq. 1) from the S-parameters associated with the MM structure. There are generally two approaches: 96 parameter fitting of dispersion models and analytical extraction of medium parameters from S-parameters. 8 97 The dispersion approach minimizes the difference between the S-parameters (scattering parameters) of the MM 98 99 structure and a block of a homogeneous material with the same thickness as the MM unit cell. A Quasi-Newton optimization algorithm, which is built into CST's optimizer, is used to provide the best fit of the S-parameters of 100 101 the dispersion model and the MM unit cell. The "goal" function in CST is to be minimized and for this case takes 102 the form where the equation includes both the magnitude and phase of the S-parameters and the summation is 103 over all n frequency samples in the range of interest.

From CST's extraction procedure, the bulk properties of the associated with the SRR/flat plate structure were acquired. The extracted permittivity profile (not shown) was that of the Lorentz model and essentially the same as in Fig. ??, except the resonance frequency was approximately 4.49 GHz.

107 It is difficult to construct a spherical or a spheroidal antenna cloak out of MMs which are generally comprised

of flat surfaces, a pseudo cloak was fashioned out of a rectangular box. The box structure contained the extracted 108 permittivity profile for the SRR/flat plate structure. A view of the pseudo cloak is shown in Fig. 4, along with 109 the dipole transmitter(1)/receiver(2) antennas. The dipole antennas were designed to operate at the Lorentz 110 resonance frequency of the MM, approximately 5.5 GHz. To prove the effectiveness of the box to act as a cloak 111 several different calculations were performed: e.g., S 11; S 22; S 21; a dipole operating inside and outside 112 the cloak at both the resonance frequency and an off resonance frequency; and the radiating pattern of the 113 transmitting dipole. However, space does not permit to show them all, but they all provided the necessary 114 numerical proof that the concept was sound. To illustrate the point Fig. 5 contains the EM fields inside and 115 outside the box produced by the transmitter antenna operating at the Lorentz resonance frequency. As observed 116 the box provides very good shielding allowing very little energy to penetrate into interior of the box. In addition, 117 the calculated induced current in the receiver antenna was approximately 9×10 -4 with the box absent versus 2 118 \times 10 -10 with box present. These numerical data provide a good basis to proceed to the next step: construction 119 of the pseudo cloak out of actual MM comprised of unit cells based on SRR/flat plate unit cell of Fig. 3. To 120 verify the numerical results, MM boards with substrates comprised of woven E-glass and Epoxy were designed 121 according to the specifications listed in Fig. 3 and fabricated (Better Boards, Inc., Cary, NC). Rectangular box 122 structures of various sizes were assembled from the MM boards. The experimental setup is shown in Fig. ??. 123 124 Two monopoles were located over a ground plane and separated by 10 cm. Monopole 1 was primarily used as 125 the transmit antenna and monopole 2 was utilized as the receiver antenna, although the roles of each antenna could be reversed. ??? +??? =?? +??? +?????(3) 126

Fig. ??). Three different sized MM boxes were utilized in the study: sides of 3×3 , 5×5 , and 6×6 unit cells. The use of these MM structures allowed the determination whether the number of MM unit cells played a significant role in the cloaking features of the boxes. Measurements determined that all three boxes provided essentially the same cloaking performance, therefore, only the structure with sides containing 9 unit cells (3×3) and dimensions of $6 \text{ cm} \times 6 \text{ cm} \times 6$ cm are presented here. This box is shown in Fig. ??. All the measurements were conducted using an Agilent Technologies (E8362B) 18 MHz -20 GHz network analyzer.

Both monopoles were designed to operate at a resonance frequency of approximately 5.5 GHz. Fig. ?? 133 contains the measured S11 and S21 profiles for the monopole antennas. As observed from Fig. ?? (a.) the lowest 134 return loss for monopole 1 (similar for monopole 2) occurs at 5.26 GHz (approximately the Lorentz resonance 135 frequency for the MM structure). From Fig. ?? (b.) note that S21 has a value of about -30 dB over most of 136 the band covered (5-6 GHz). between monopoles 1 and 2 Fig. 8 contains the results when the rectangular 137 cloak is placed over either the transmit monopole or the receive monopole. When the MM box covers monopole2 138 (receive antenna), the S21 results are contained in Fig. 8 (a.). Observe that the cloak provide a very good shield 139 (-85 dB) at a frequency of 5.59 GHz, which is very close to the extracted Lorentz frequency of 5.5 GHz. Fig 140 8 (b.) reveals similar results when monopole 1 (transmit antenna) is covered by the box, except the resonance 141 frequency is slightly different (5.59 GHz versus 5. 62 GHz). It is likely that the small discrepancies in these 142 measured resonance frequencies and calculated frequency (5.49 GHz) are due to small differences in the dielectric 143 properties of the simulated and actual substrates. 144

Finally it is important to observe from the data in Fig. 8, for frequencies above and below the absorption band, the MM structure acts like it is essentially transparent to the incident fields. As shown the coupling values return to the levels measured when no box is shielding either antenna.

The experimental results verified the numerical predictions of the numerical simulations. MM cloaks at the resonant frequency almost act like a perfect shield and (2) above and below the resonant frequency the material is almost transparent. Its properties, for the antenna shielding problem, can mimic a "true" cloak with much less complexity in the properties of the MM structure. The measured shielding efficiency is over 99.9%.

1**E**

Figure 1: Fig. 1 :

Е"

Figure 2:



Figure 3: A



Figure 4: Fig. 3:



Figure 5: Fig. 4 :

- Further work needs to be performed relative to the radiation patterns of two antennas in close proximity, when one or both antennas are covered by a MM box. Calculated results (not shown) have demonstrated that the radiation pattern may be slightly distorted by a rectangular MM box in close proximity to a radiating antenna. These numerical results, however, need to be verified by measured data. Radiation distortion may be improved by a smaller box or by a different structure with curved sides such as a sphere or spheroid. Of course, other
- antennas, such as a patch antenna, that radiate upwards rather than to the side as does a dipole-type antenna, help eliminate the problem.
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